

Lecture 1

# Nonadiabatic electron dynamics in TDDFT

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# Overview

## Part I: Basics and formalism

- Beyond the adiabatic approximation: why and how?
- Current-TDDFT
- The VK functional: technical details

Lecture 1

## Part II: Applications in the linear response regime

- polarizabilities of polymers
- atomic excitation energies
- intersubband plasmons in quantum wells
- Spin-Current-TDDFT

Lecture 2

## Part III: Nonlinear regime

- TDKS equation with memory
- Dissipation: where does the energy go?
- C-TDDFT versus L-TDDFT
- TDOEP



## TDSE versus TDKS

$$\left[ \sum_j \left( -\frac{\nabla_j^2}{2} + V(\vec{r}_j, t) \right) + \sum_{j \neq k} \frac{1}{|\vec{r}_j - \vec{r}_k|} - i \frac{\partial}{\partial t} \right] \Psi(\vec{r}_1, \dots, \vec{r}_N, t) = 0$$

Full many-body TDSE: linear equation, instantaneous interactions.

$$\left[ -\frac{\nabla^2}{2} + V(\vec{r}, t) + V_H(\vec{r}, t) + V_{xc}(\vec{r}, t) - i \frac{\partial}{\partial t} \right] \varphi_j(\vec{r}, t) = 0$$

TDKS equation: nonlinear (H+xc), memory-dependent (xc) Hamiltonian.

Via  $\partial/\partial t$ , both TDSE and TDKS carry the memory of the initial states from where the time propagation starts,  $\Psi(0)$  and  $\varphi_j(0)$ .



## Two kinds of xc memory in TDDFT

dependence on initial states, except when starting from the ground state (N. Maitra, TDDFT book, Ch.4)

$$V_{xc} \left[ n, \overbrace{\Psi(0), \Phi_{KS}(0)} \right] (\vec{r}, t)$$



dependence on densities:

$$n(\vec{r}', t'), \quad t' \leq t$$

(nonlocal in space and time)



# The adiabatic approximation

$$V_{xc}^A [n](\vec{r}, t) = V_{xc}^{static} [n(\vec{r}, t)](\vec{r})$$

Take any approximate ground-state xc functional, and plug in a time-dependent density. Most widely used: ALDA

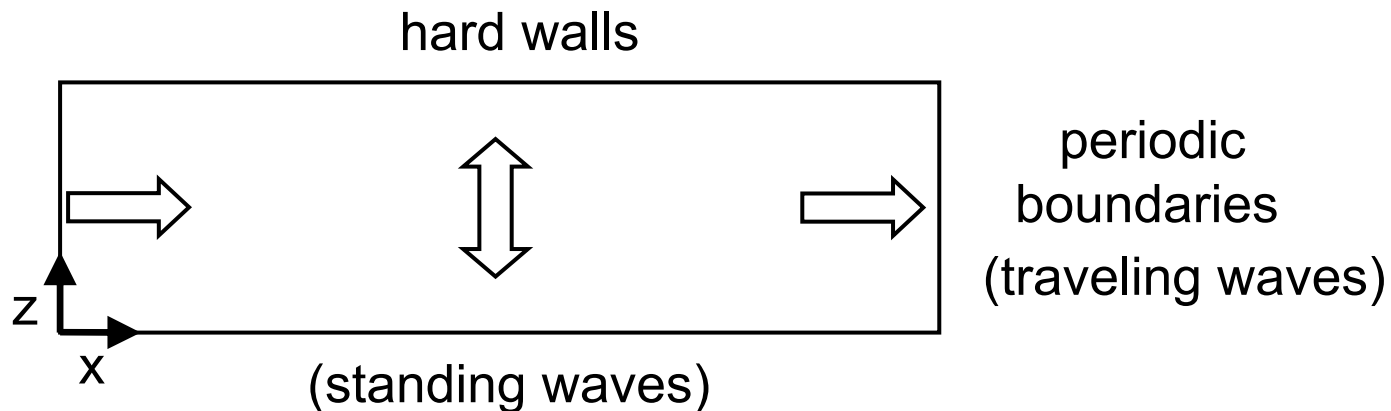
$$V_{xc}^{ALDA}(\vec{r}, t) = \left. \frac{de_{xc}^{unif}(\bar{n})}{d\bar{n}} \right|_{\bar{n}=n(\vec{r}, t)}$$

ALDA depends only on the density at the same space-time point:  $n(\vec{r}, t)$

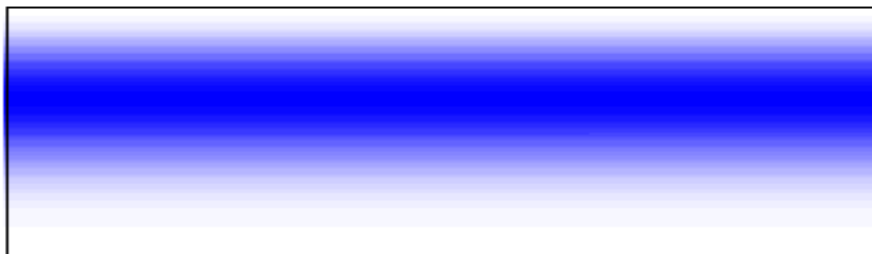
**“Adiabatic” means: no history dependence, no memory, no retardation.**



# Example: two electrons on a 2D quantum strip



Charge-density oscillations





# Construction of the exact xc potential

Step 1: solve full 2-electron Schrödinger equation

$$\left[ -\frac{\nabla_1^2}{2} - \frac{\nabla_2^2}{2} + V(z_1, t) + V(z_2, t) + \frac{1}{|\vec{r}_1 - \vec{r}_2|} - i \frac{\partial}{\partial t} \right] \Psi(\vec{r}_1, \vec{r}_2, t) = 0$$

Step 2: calculate the exact time-dependent density

$$\sum_{s_1, s_2} \int d\vec{r}_2 |\Psi(\vec{r}, \vec{r}_2, t)|^2 = n(z, t) = 2|\varphi(z, t)|^2$$

Step 3: find that TDKS system which reproduces the density

$$\left[ -\frac{1}{2} \frac{d^2}{dz^2} + V(z, t) + V_H(z, t) + V_{xc}(z, t) - i \frac{\partial}{\partial t} \right] \varphi(z, t) = 0$$



# Construction of the exact xc potential

Ansatz: 
$$\varphi(\vec{r}, t) = \sqrt{\frac{n(\vec{r}, t)}{2}} \exp(i\alpha(\vec{r}, t))$$

$$\Rightarrow V_{xc}(\vec{r}, t) =$$

$$-V(\vec{r}, t) - V_H(\vec{r}, t)$$

$V_{xc}^A$

$$+ \frac{1}{4} \nabla^2 \ln n(\vec{r}, t) + \frac{1}{8} |\vec{\nabla} \ln n(\vec{r}, t)|^2$$

$$- \dot{\alpha}(\vec{r}, t) - \frac{1}{2} |\vec{\nabla} \alpha(\vec{r}, t)|^2$$

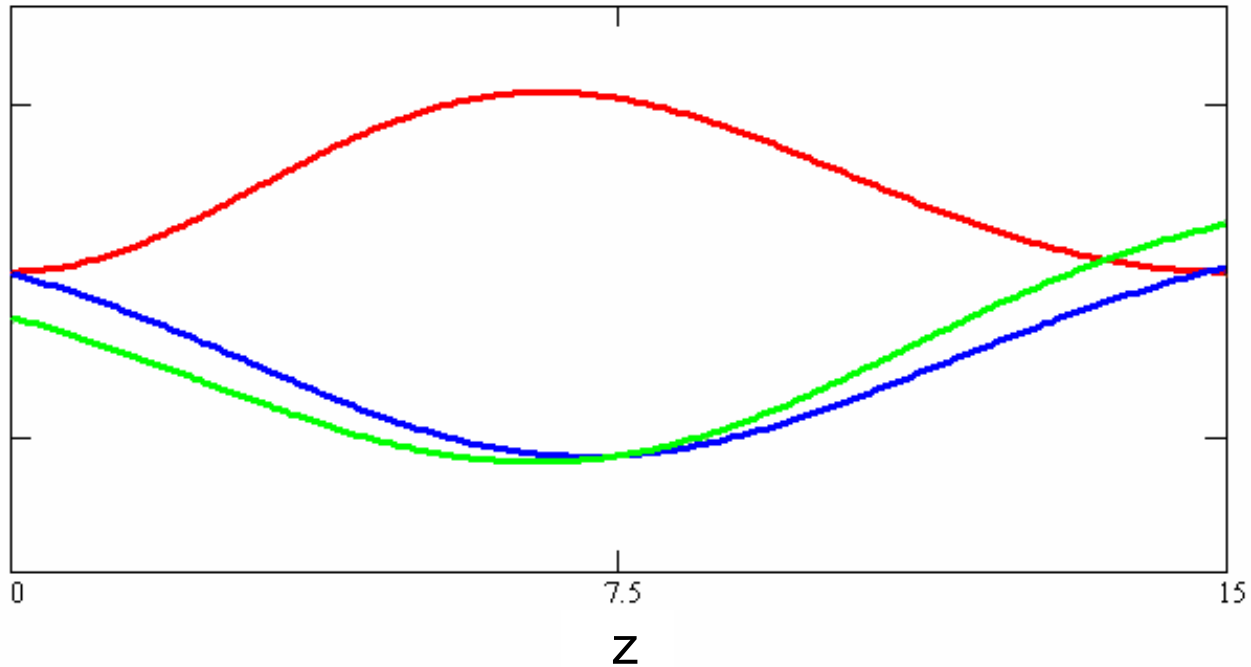
$V_{xc}^{dyn}$

Exercise: verify this result!





# 2D quantum strip: charge-density oscillations



- density
- exact  $V_{xc}$
- adiabatic  $V_{xc}$



# The adiabatic approximation

- In general, the adiabatic approximation works well for excitations which have an analogue in the KS system (single excitations)
- formally justified only for infinitely slow electron dynamics. But why is it that the frequency dependence seems less important?

The frequency scale of  $f_{xc}$  is set by correlated multiple excitations, which are absent in the KS spectrum.

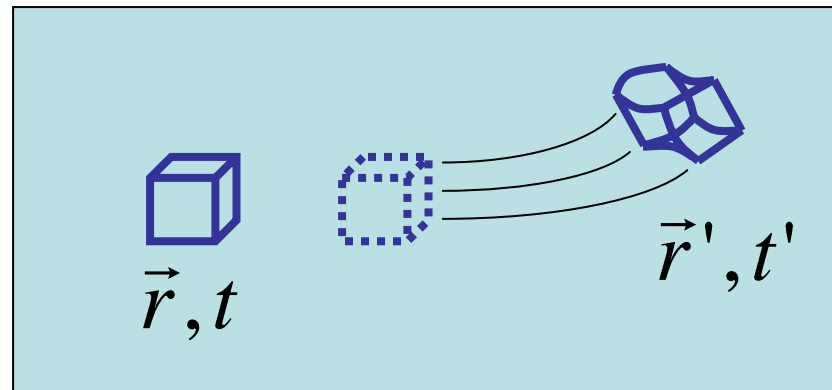
- Adiabatic approximation fails for more complicated excitations (multiple, charge-transfer)
- misses dissipation of long-wavelength plasmon excitations

**Fundamental question: what is the proper extension of the LDA into the dynamical regime?**



# Nonlocality in space and time

Visualize electron dynamics as the motion (and deformation) of infinitesimal fluid elements:



**Nonlocality in time (memory) implies nonlocality in space!**

Dobson, Büchner, and Gross, PRL **79**, 1905 (1997)



## Ultranonlocality in TDDFT

Zero-force theorem: 
$$\int d^3 r n(\vec{r}, t) \vec{\nabla} V_{xc}(\vec{r}, t) = 0$$

Linearized form: 
$$\int d^3 r' \vec{\nabla} n_0(\vec{r}') f_{xc}(\vec{r}, \vec{r}', \omega) = \vec{\nabla} V_{xc,0}(\vec{r})$$

If the xc kernel has a **finite range**, we can write for slowly varying systems:

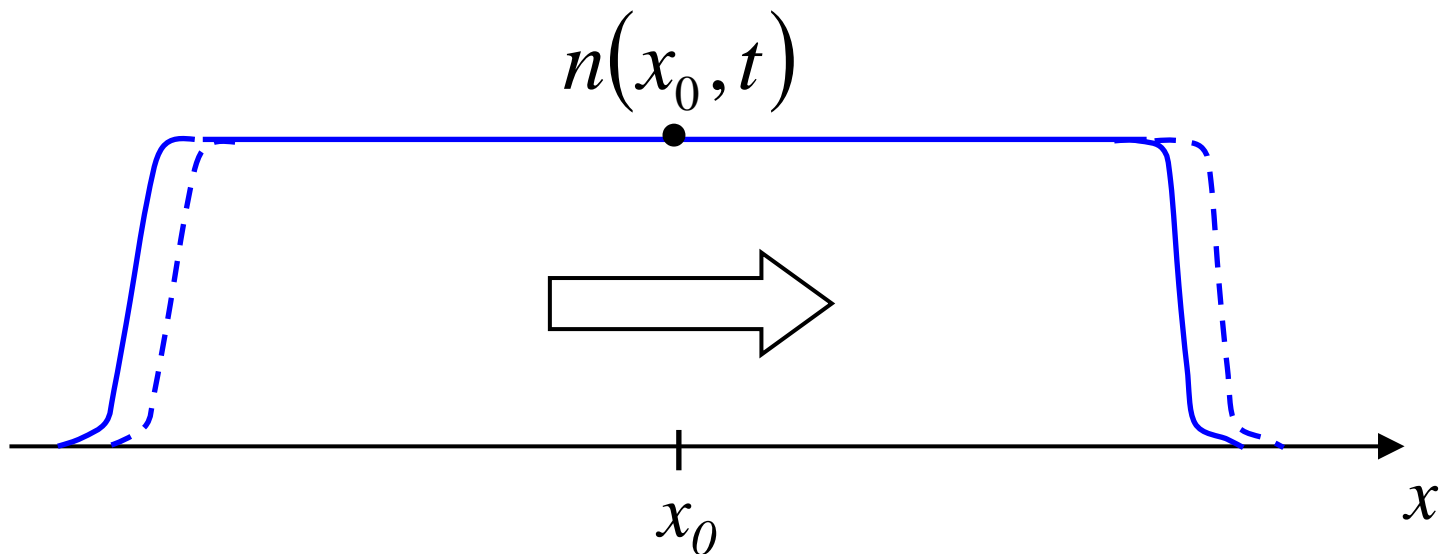
$$\vec{\nabla} n_0(\vec{r}) \underbrace{\int d^3 r' f_{xc}(\vec{r}, \vec{r}', \omega)}_{\Rightarrow f_{xc}^{\text{hom}}(\vec{k} = 0, \omega)} = \vec{\nabla} V_{xc,0}(\vec{r})$$

l.h.s. is frequency-dependent, r.h.s is not: **contradiction!**

$\Rightarrow f_{xc}(\vec{r}, \vec{r}', \omega)$  has infinitely long spatial range!



## Ultranonlocality and the density



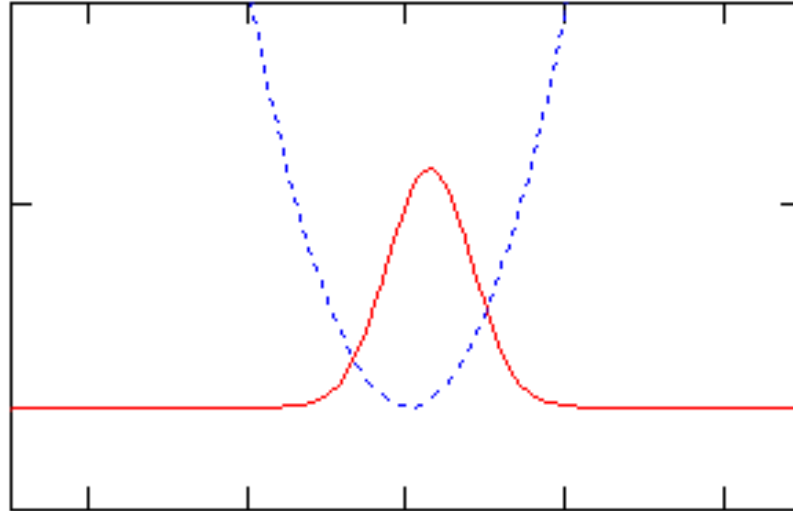
An xc functional that depends only on the local density (or its gradients) cannot see the motion of the entire slab.

A density functional needs to have a long range to see the motion through the changes at the edges.



# Harmonic Potential Theorem – Kohn's mode

J.F. Dobson, PRL **73**, 2244 (1994)

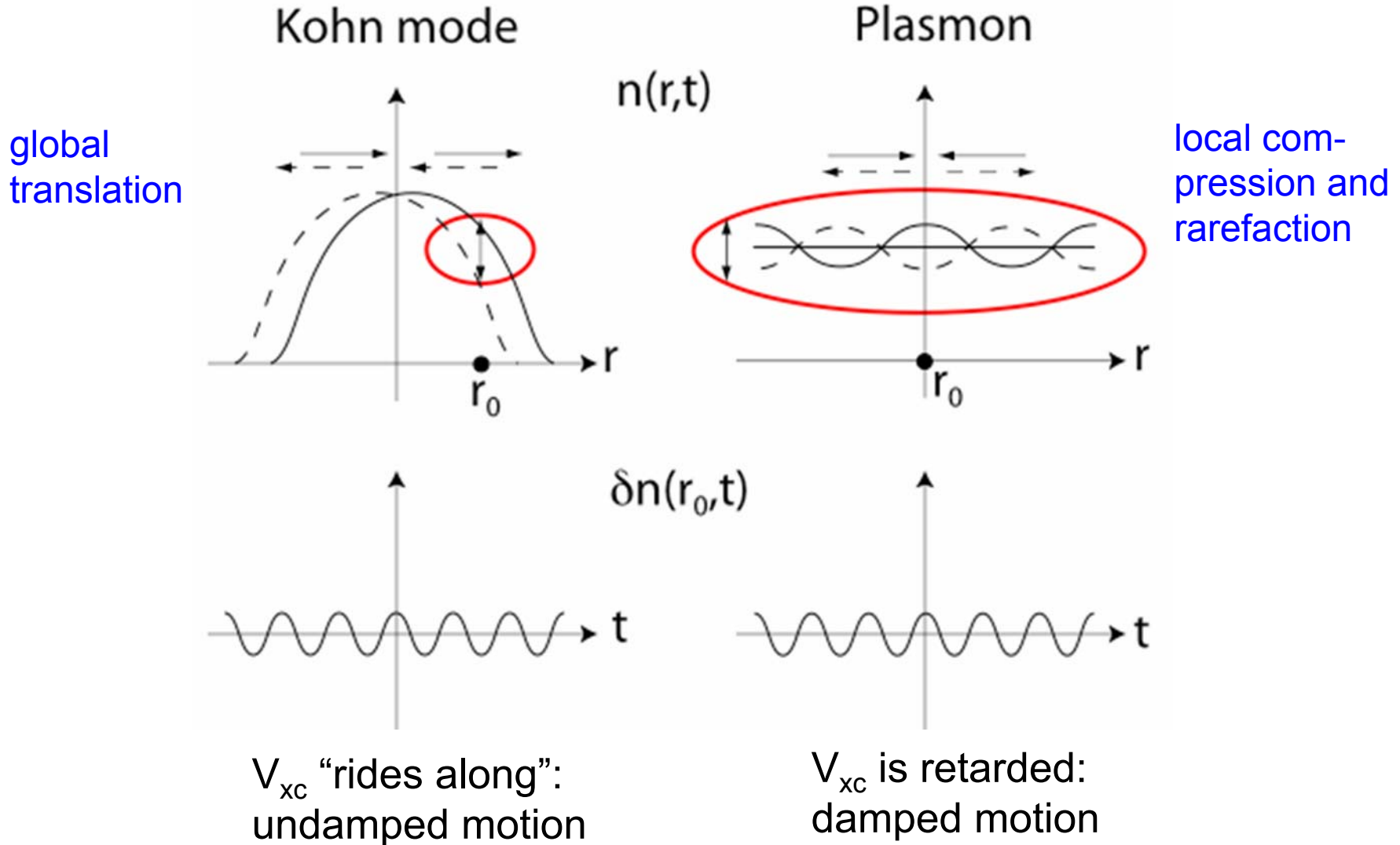


A parabolically confined, interacting N-electron system can carry out an undistorted, undamped, collective “sloshing” mode, where  $n(\vec{r}, t) = n_0(\vec{r} - \vec{R}(t))$ , with the CM position  $\vec{R}(t)$ .

**Exercise:** Verify that the ALDA satisfies the HPT!



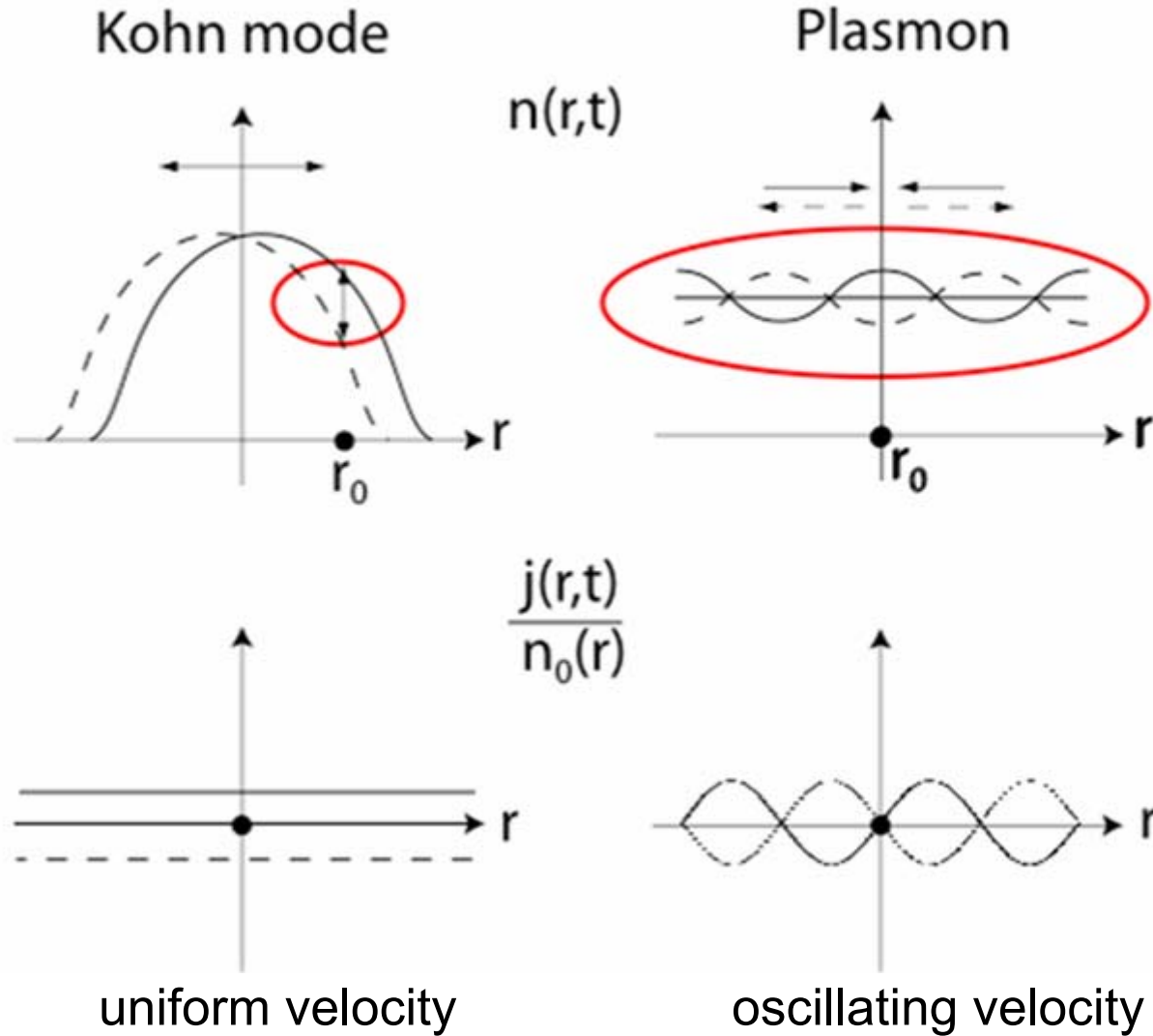
# Point of view of the local density



➔ xc functionals based on local density can't distinguish the two cases!



# Point of view of the local current

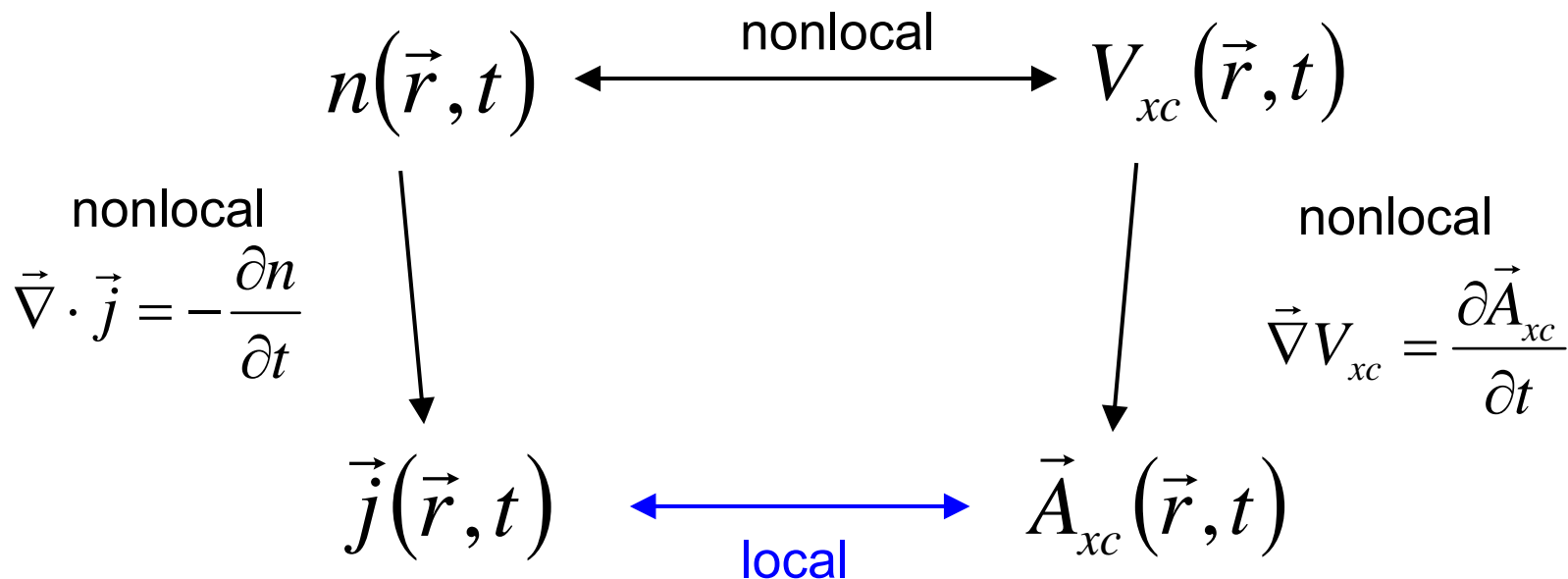


➔ much better chance to capture the physics correctly!





# “Upgrading” TDDFT: Current-TDDFT



$$\vec{j}(\vec{r}, t) = \vec{j}_L(\vec{r}, t) + \vec{j}_T(\vec{r}, t), \quad \vec{j}_L(\vec{r}, t) = \frac{\vec{\nabla}}{4\pi} \int \frac{\dot{n}(\vec{r}', t)}{|\vec{r} - \vec{r}'|}$$

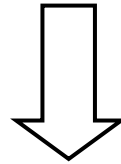
- Continuity equation only gives the longitudinal current
- C-TDDFT gives also the transverse current
- We can find a short-range current-dependent xc vector potential



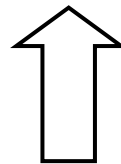
# C-TDDFT

generalization of RG theorem: Ghosh and Dhara, PRA **38**, 1149 (1988)  
G. Vignale, PRB **70**, 201102 (2004)

$$\hat{H}_{\text{int}}(t) = \sum_i \left\{ \frac{1}{2} \left[ \vec{p}_i + \frac{1}{c} \vec{A}_{\text{ext}}(\vec{r}_i, t) \right]^2 + V_{\text{ext}}(\vec{r}_i, t) \right\} + \sum_{i>j} U(\vec{r}_i - \vec{r}_j)$$



$$\vec{j}(\vec{r}, t) = \vec{j}_L(\vec{r}, t) + \vec{j}_T(\vec{r}, t)$$



$$\hat{H}_{KS}(t) = \sum_i \left\{ \frac{1}{2} \left[ \vec{p}_i + \frac{1}{c} \vec{A}_{KS}(\vec{r}_i, t) \right]^2 + V_{KS}(\vec{r}_i, t) \right\}$$

uniquely determined up to gauge transformation

full current can be represented by a KS system



## C-TDDFT in the linear response regime

$$\vec{j}_1(\vec{r}, \omega) = \int d^3 r' \vec{\chi}_{KS}(\vec{r}, \vec{r}', \omega) \left\{ \vec{A}_{ext,1}(\vec{r}, \omega) + \vec{A}_{H,1}(\vec{r}, \omega) + \vec{A}_{xc,1}(\vec{r}, \omega) \right\}$$

KS current-current response tensor: diamagnetic + paramagnetic part

$$\chi_{\mu\nu}(\vec{r}, \vec{r}', \omega) = n_0(\vec{r}) \delta(\vec{r} - \vec{r}') \delta_{\mu\nu} + \frac{1}{2} \sum_{j,k} \frac{f_k - f_j}{\varepsilon_k - \varepsilon_j + \omega + i\eta} P_{\mu}^{kj}(\vec{r}) P_{\nu}^{jk}(\vec{r}')$$

where 
$$P_{\mu}^{kj} = \varphi_k^*(\vec{r}) \nabla_{\mu} \varphi_j(\vec{r}) - \varphi_j(\vec{r}) \nabla_{\mu} \varphi_k^*(\vec{r})$$

**Exercise: show that** 
$$\chi(\vec{r}, \vec{r}', \omega) = \frac{1}{\omega^2} \sum_{\mu\nu} \nabla_{\mu} \nabla'_{\nu} \chi_{\mu\nu}(\vec{r}, \vec{r}', \omega)$$



# C-TDDFT: effective vector potential

$\vec{A}_{ext,1}(\vec{r}, \omega)$ : external perturbation. Can be a true vector potential, or a gauge transformed scalar perturbation:  $\vec{A}_{ext,1} = \frac{1}{i\omega} \vec{\nabla} V_{ext,1}$

$$\vec{A}_{H,1}(\vec{r}, \omega) = \frac{\vec{\nabla}}{(i\omega)^2} \int d^3 r' \frac{\vec{\nabla}' \cdot \vec{j}(\vec{r}', \omega)}{|\vec{r} - \vec{r}'|}$$

gauge transformed Hartree potential

$$\vec{A}_{xc,1}(\vec{r}, \omega) = \int d^3 r' \vec{f}_{xc}(\vec{r}, \vec{r}', \omega) \vec{j}(\vec{r}', \omega)$$

the xc kernel is now a tensor!

ALDA:  $\vec{A}_{xc,1}^{ALDA}(\vec{r}, \omega) = \frac{\vec{\nabla}}{(i\omega)^2} \int d^3 r' f_{xc}^{ALDA}(\vec{r}, \vec{r}') \vec{\nabla}' \cdot \vec{j}(\vec{r}', \omega)$



# C-TDDFT beyond the ALDA: the VK functional

$$\vec{A}_{xc,1}(\vec{r}, \omega) = \vec{A}_{xc,1}^{ALDA}(\vec{r}, \omega) - \frac{c}{i\omega n_0(\vec{r})} \vec{\nabla} \cdot \vec{\sigma}_{xc}(\vec{r}, \omega)$$

xc viscoelastic stress tensor:

$$\sigma_{xc,jk} = \tilde{\eta}_{xc} \left( \nabla_j v_{1,k} + \nabla_k v_{1,j} - \frac{2}{3} \vec{\nabla} \cdot \vec{v}_1 \delta_{jk} \right) + \tilde{\zeta}_{xc} \vec{\nabla} \cdot \vec{v}_1 \delta_{jk}$$

$$\vec{v}(\vec{r}, \omega) = \vec{j}(\vec{r}, \omega) / n_0(\vec{r}) \quad \text{velocity field}$$

- automatically satisfies zero-force theorem/Newton's 3<sup>rd</sup> law
- automatically satisfies the Harmonic Potential theorem
- is local in the current, but nonlocal in the density
- introduces dissipation/retardation effects

...but how on earth did they come up with this expression??



# C-TDDFT beyond the ALDA

## 1 Derivation by “brute force” [G. Vignale and W. Kohn, PRL **77**, 2037 (1996)]

- ▶ Consider weakly inhomogeneous electron liquid, modulated by a charge-density wave of small amplitude and wavevector.
- ▶ Calculate xc kernel  $f_{xc}(k+q, k, \omega)$  where  $k, q \ll k_F, \omega / v_F$
- ▶ It turned out later that the resulting expression can be cast into hydrodynamic form

## 2 Physical insight [G. Vignale, C.A.U., and S. Conti, PRL **79**, 4878 (1997), G. Vignale, TDDFT-book, chapter 5]

- ▶ Classical theories of the dynamics of continuous media (elasticity and hydrodynamics) express many-body forces as divergences of stress tensors
- ▶ local functionals of the displacement or velocity field
- ▶ use general symmetries and sum rules



## xc viscosity coefficients

$$\tilde{\eta}_{xc}(n, \omega) = -\frac{n^2}{i\omega} f_{xc}^T(n, \omega)$$

$$\tilde{\zeta}_{xc}(n, \omega) = -\frac{n^2}{i\omega} \left( f_{xc}^L(n, \omega) - \frac{4}{3} f_{xc}^T(n, \omega) - \frac{d^2 e_{xc}^{unif}}{dn^2} \right)$$

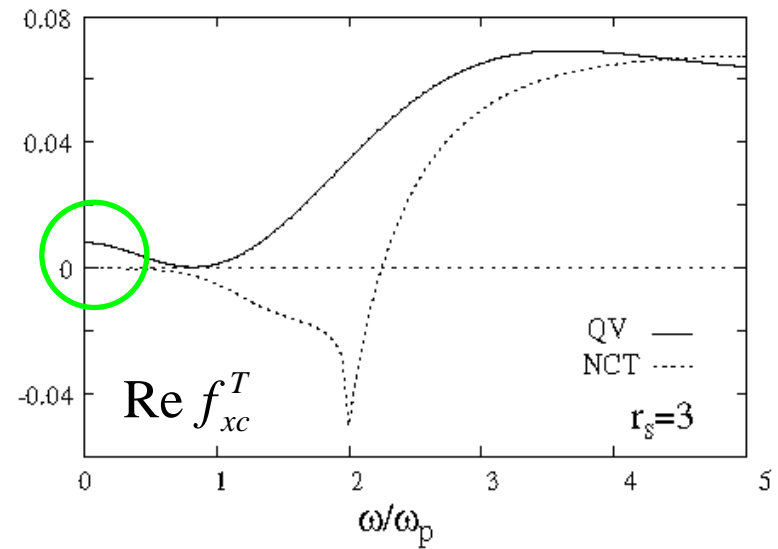
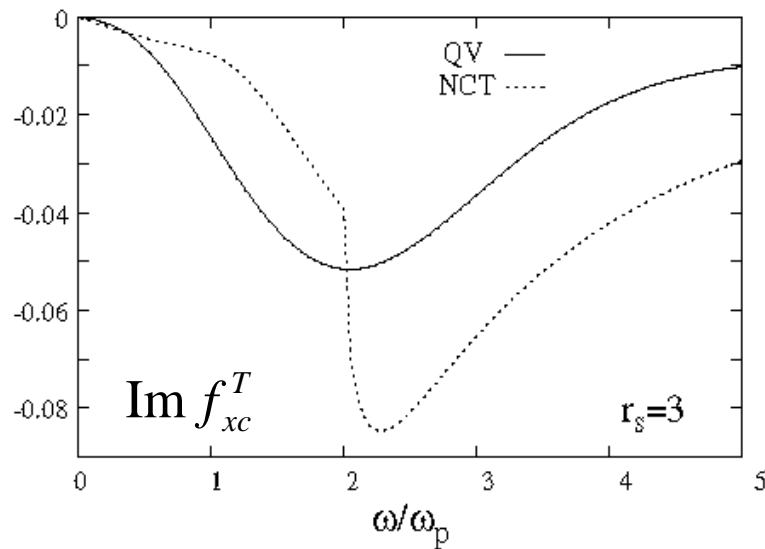
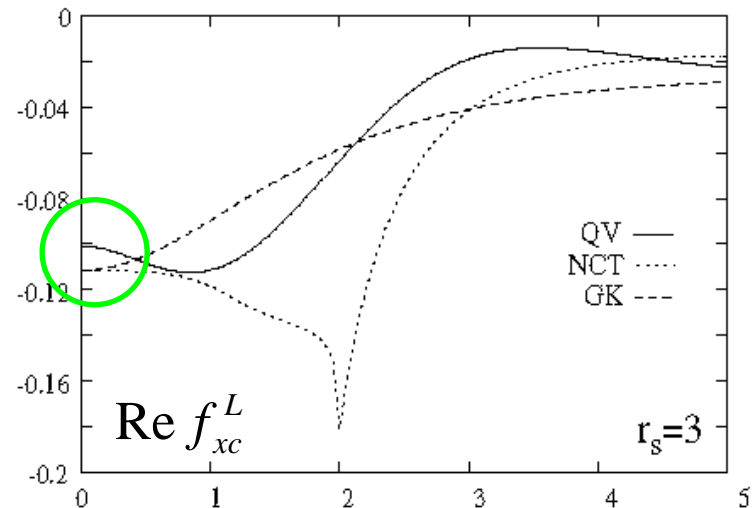
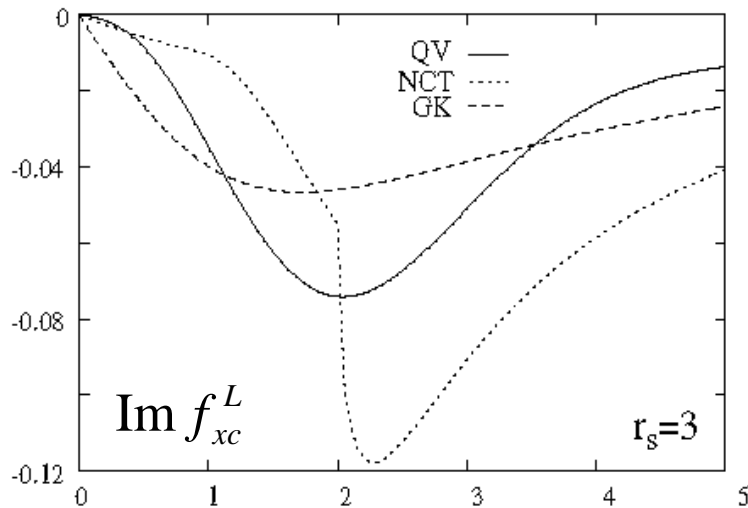
In contrast with the classical case, the xc viscosities have both real and imaginary parts, describing dissipative and elastic behavior:

$$\tilde{\eta}(\omega) = \eta(\omega) - \frac{S_{xc}(\omega)}{i\omega} \quad \text{shear modulus}$$
$$\tilde{\zeta}(\omega) = \zeta(\omega) - \frac{B_{xc}^{dyn}(\omega)}{i\omega} \quad \text{dynamical bulk modulus}$$

reflect the stiffness of Fermi surface against deformations



# xc kernels of the homogeneous electron gas



**GK:** E.K.U. Gross and W. Kohn, PRL **55**, 2850 (1985)

**NCT:** R. Nifosi, S. Conti, and M.P. Tosi, PRB **58**, 12758 (1998)

**QV:** X. Qian and G. Vignale, PRB **65**, 235121 (2002)





## static limits of the xc kernels

$$f_{xc}^L(0) = \frac{d^2 e_{xc}^{unif}(n)}{dn^2} + \frac{4}{3} \frac{S_{xc}(0)}{n^2}$$

$$f_{xc}^T(0) = \frac{S_{xc}(0)}{n^2}$$

The shear modulus of the electron liquid does **not** disappear for  $\omega \rightarrow 0$ . (as long as the limit  $q \rightarrow 0$  is taken first). Physical reason:

- Even very small frequencies  $\ll E_F$  are large compared to relaxation rates from electron-electron collisions.
- The zero-frequency limit is taken such that local equilibrium is not reached.
- The Fermi surface remains stiff against deformations.



# Overview

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- Beyond the adiabatic approximation: why and how?
- Current-TDDFT
- The VK functional: technical details

## Part II: Applications in the linear response regime

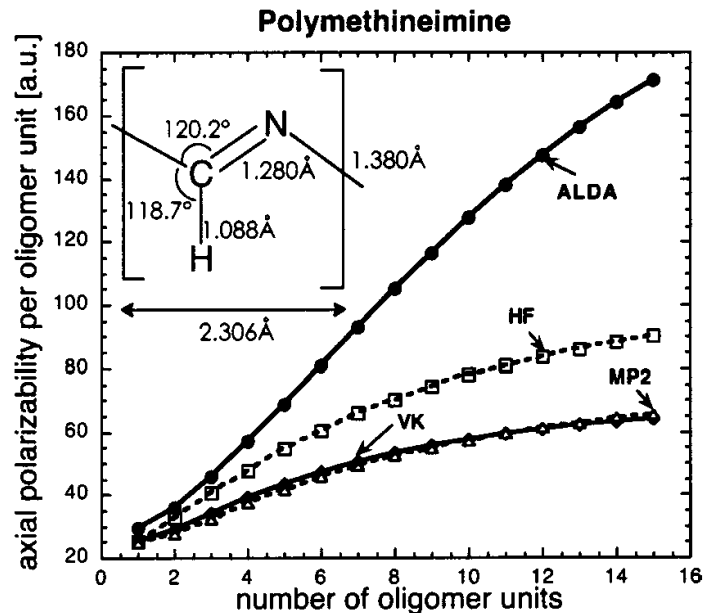
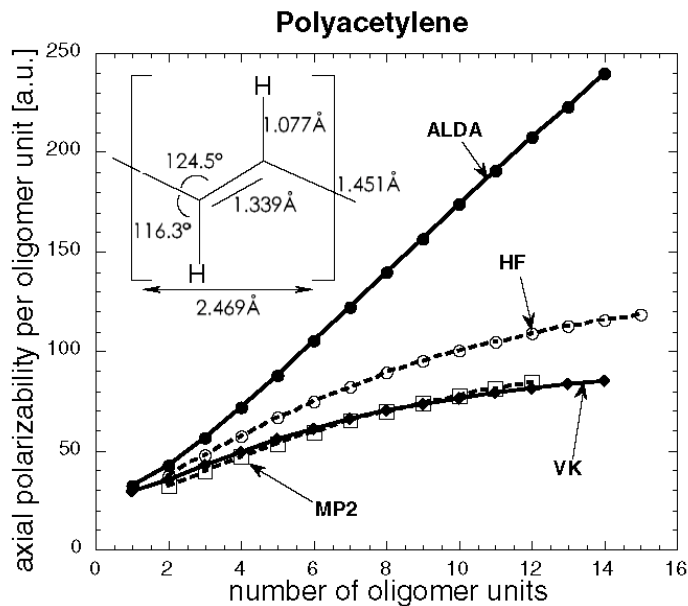
- polarizabilities of polymers
- atomic excitation energies
- intersubband plasmons in quantum wells
- Spin-Current-TDDFT

## Part III: Nonlinear regime

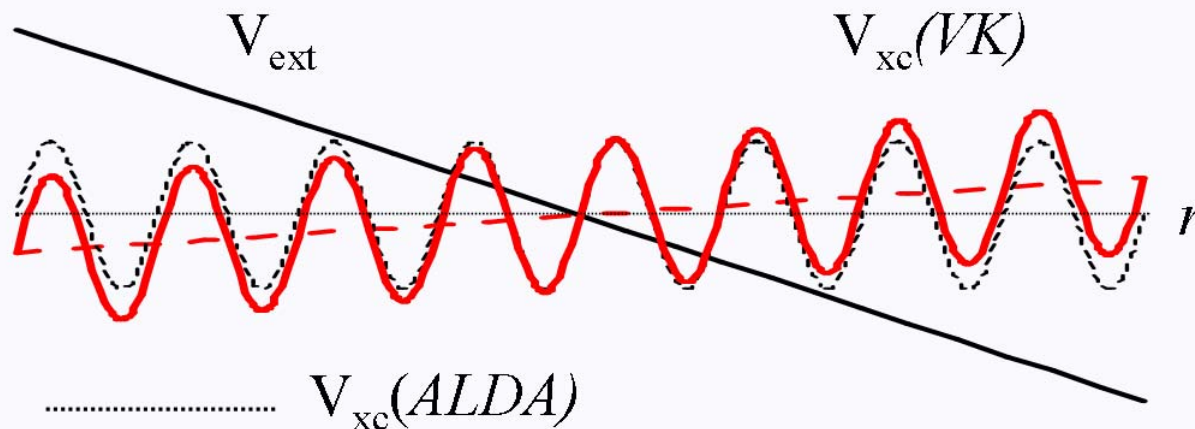
- TDKS equation with memory
- Dissipation: where does the energy go?
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# C-TDDFT for conjugated polymers



ALDA overestimates polarizabilities of long molecular chains. The long-range VK functional produces a counteracting field, due to the finite shear modulus at  $\omega \rightarrow 0$ .





# Atomic excitation energies

lowest  $^1S \rightarrow ^1S$  (in eV)

	Exp	bare KS	ALDA	VK
Be $2s \rightarrow 3s$	6.78	5.56	5.62	$5.67 - 0.04i$
Mg $3s \rightarrow 4s$	5.39	4.72	4.78	$4.83 - 0.05i$
Ca $4s \rightarrow 5s$	4.13	3.77	3.81	$3.87 - 0.06i$
Sr $5s \rightarrow 6s$	3.79	3.50	3.54	$3.59 - 0.06i$

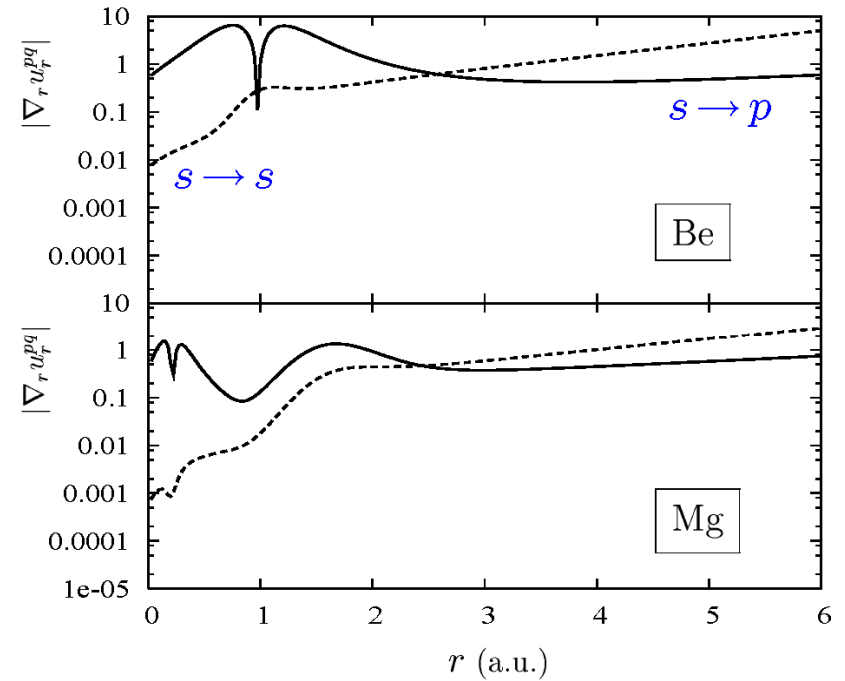
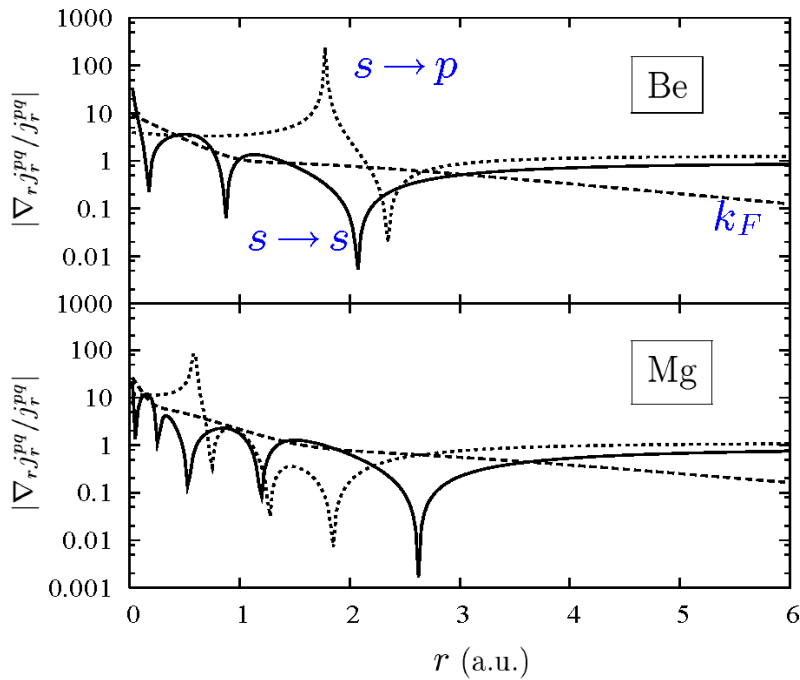
lowest  $^1S \rightarrow ^1P$  (in eV)

	Exp	bare KS	ALDA	VK
Be $2s \rightarrow 2p$	5.28	3.50	5.08	$6.24 - 0.69i$
Mg $3s \rightarrow 3p$	4.35	3.39	4.57	$4.86 - 0.09i$
Ca $4s \rightarrow 4p$	2.93	2.39	3.38	$3.22 - 0.06i$
Sr $5s \rightarrow 5p$	2.69	2.22	3.11	$-1.63 - 0.06i$

C.A.U. and K. Burke, JCP **121**, 28 (2004)



# Analysis of the VK functional for atoms



conditions for validity of the VK functional:

$$\left| \frac{\nabla n_0}{n_0} \right|, \left| \frac{\nabla j_v}{j_v} \right|, \left| \frac{\nabla v_v}{v_v} \right| \ll k_F, \frac{\omega}{v_F}$$

OK for  $s \rightarrow s$ , but violated for  $s \rightarrow p$ !



# Analysis of the VK functional for atoms

## ► Frequency shifts:

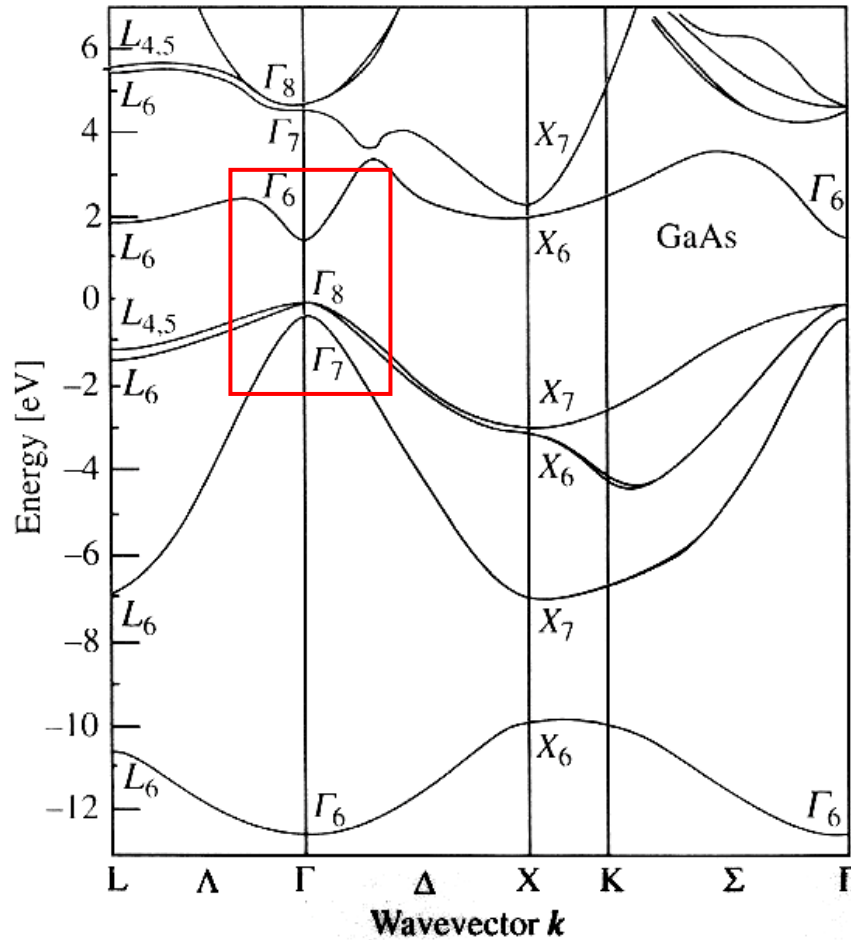
- small for  $s \rightarrow s$ , but tend to overshoot for  $s \rightarrow p$  excitations
- need more accurate  $f_{xc}^{L,T}(\omega)$ , especially around nucleus ( $r_s \ll 1$ )
- excitations with large  $\nabla j$  are problematic. Need higher gradients.  
[partial cure: Tao and Vignale, PRL (2006)]

## ► Imaginary parts:

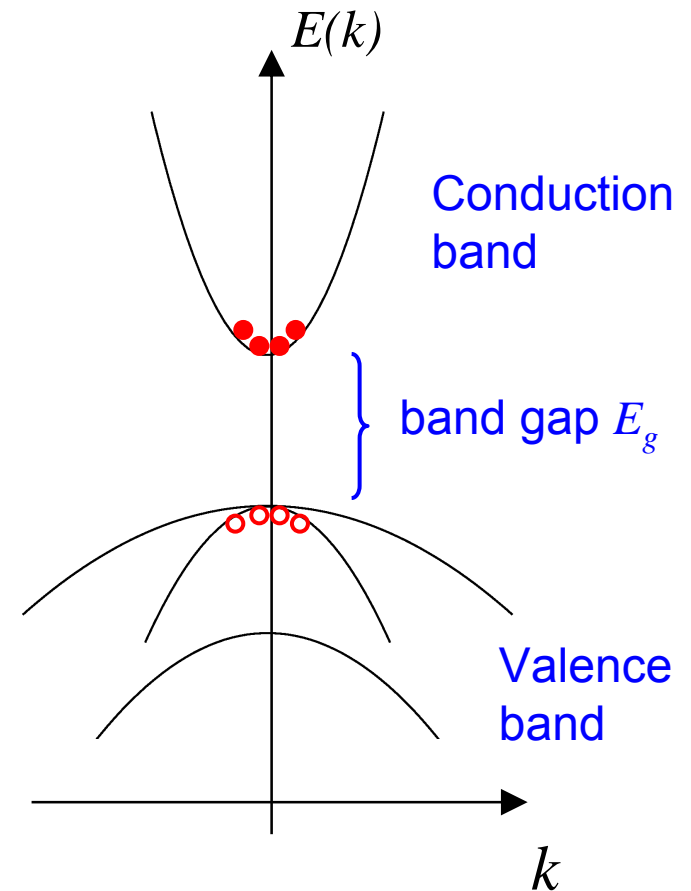
- small but finite, often of the same order as frequency shifts.
- unphysical: a finite system ought to have zero linewidth.  
Difficult to achieve for a functional with the homogeneous electron gas as reference system!



# GaAs band structure

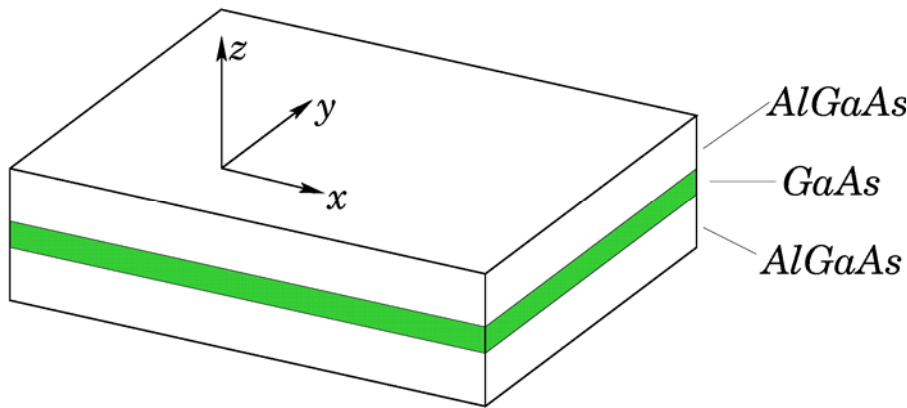


Around the zone center:

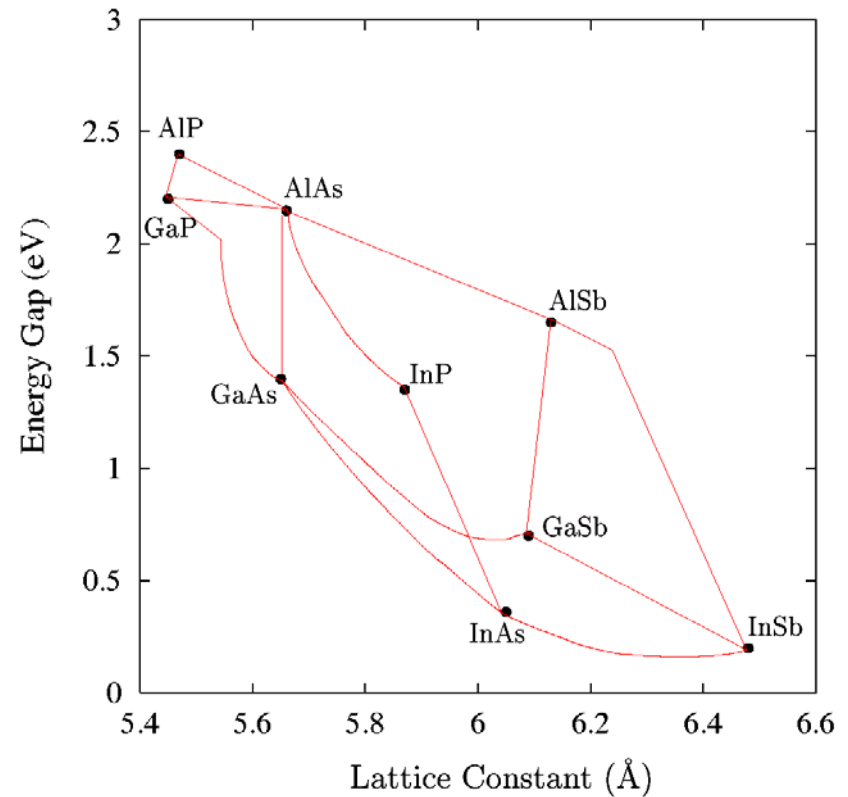




# Quantum well basics



“Map of the World”

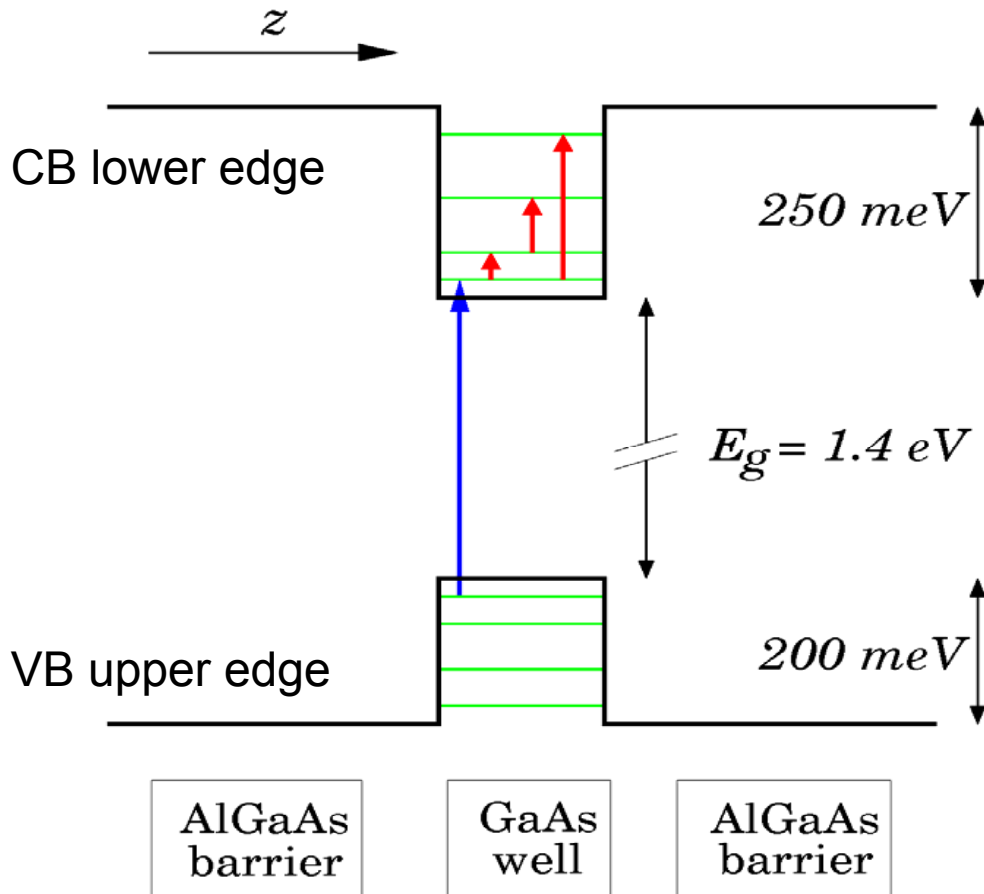


- semiconductor heterostructures are grown with MBE or MOCVD
- control and design through layer-by-layer variation of material composition
- widely used class of materials: III-V compounds





# Electronic transitions in quantum wells



Interband transitions:  
of order eV  
(visible to near-IR)

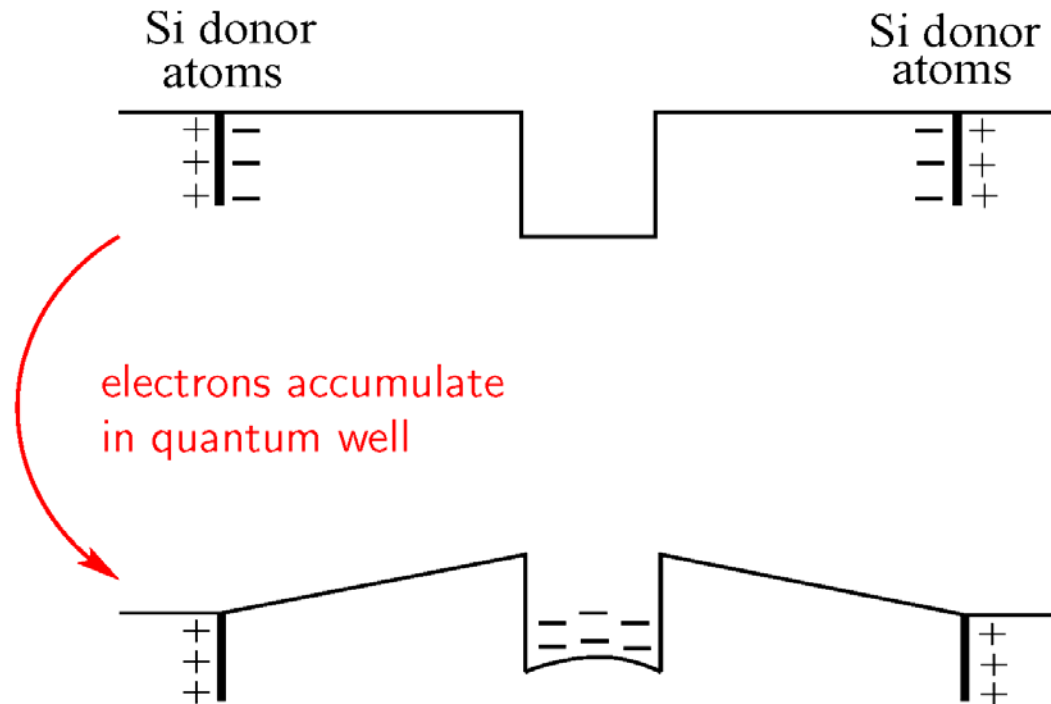
Intersubband transitions:  
of order meV  
(mid- to far-IR)

$$10 \text{ meV} = 2.4 \text{ THz}$$



# n-doped quantum wells

- Donor atoms separated from quantum well: **modulation delta doping**
- Total sheet density  $N_s$  typically  $\sim 10^{11} \text{ cm}^{-2}$





# Electronic ground state: subband levels

**Effective-mass approximation:**  $m^* = \mu m$   $e^* = e / \sqrt{\kappa}$   
(for GaAs:  $\mu = 0.067$ ,  $\kappa = 13$ )

**Electrons in a quantum well: plane waves in x-y plane, confined along z**

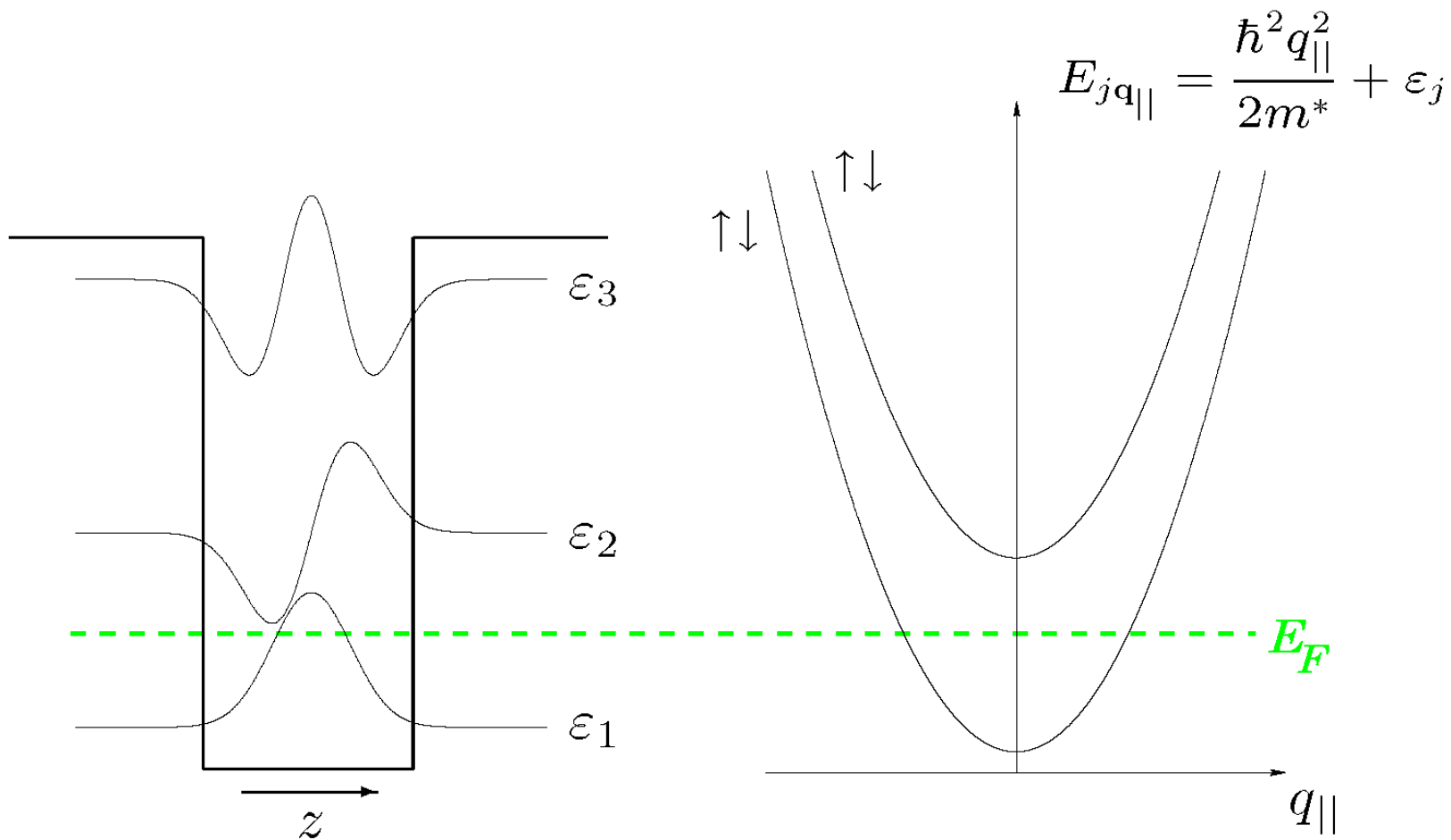
$$\psi_{jq_{\parallel}}(\vec{r}) = \frac{1}{\sqrt{A}} e^{iq_{\parallel}r_{\parallel}} \varphi_j(z) \quad \text{with energies} \quad E_{jq_{\parallel}} = \frac{\hbar^2 q_{\parallel}^2}{2m^*} + \varepsilon_j$$

quantum well  
confining potential

$$\left[ -\frac{\hbar^2}{2m^*} \frac{d^2}{dz^2} + V_{conf}(z) + V_H(z) + V_{xc}^{LDA}(z) \right] \varphi_j(z) = \varepsilon_j \varphi_j(z)$$

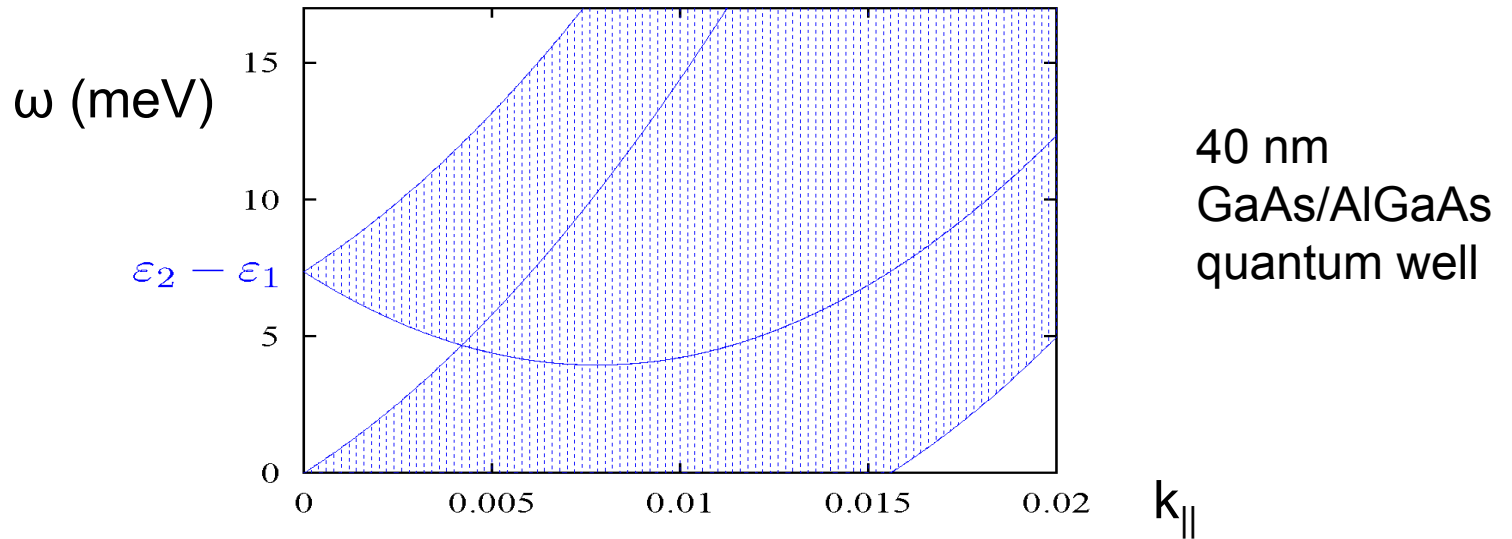
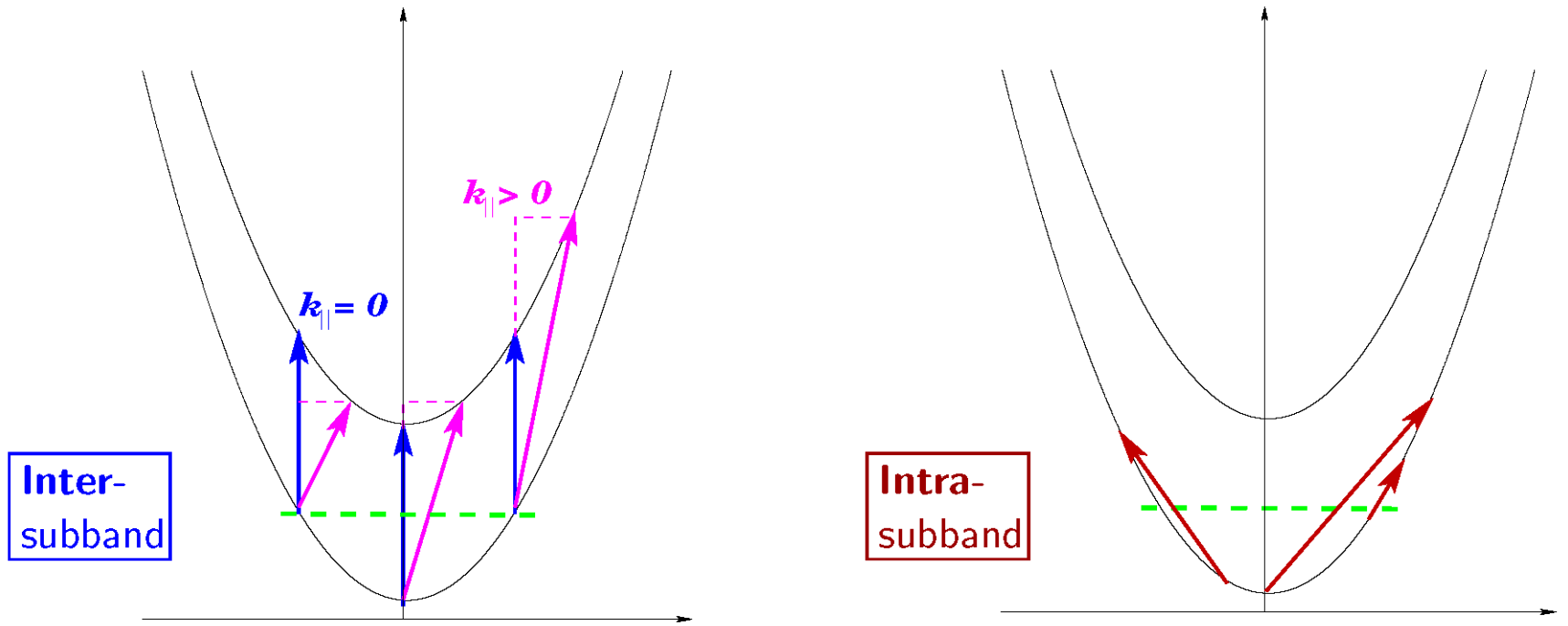


# Quantum well subbands



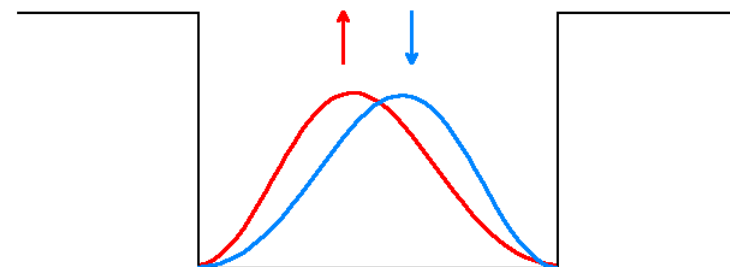
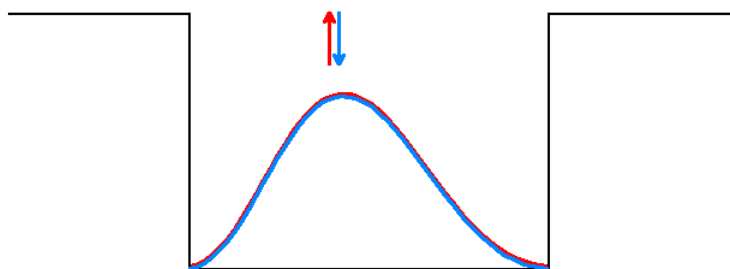


# Single-particle excitations



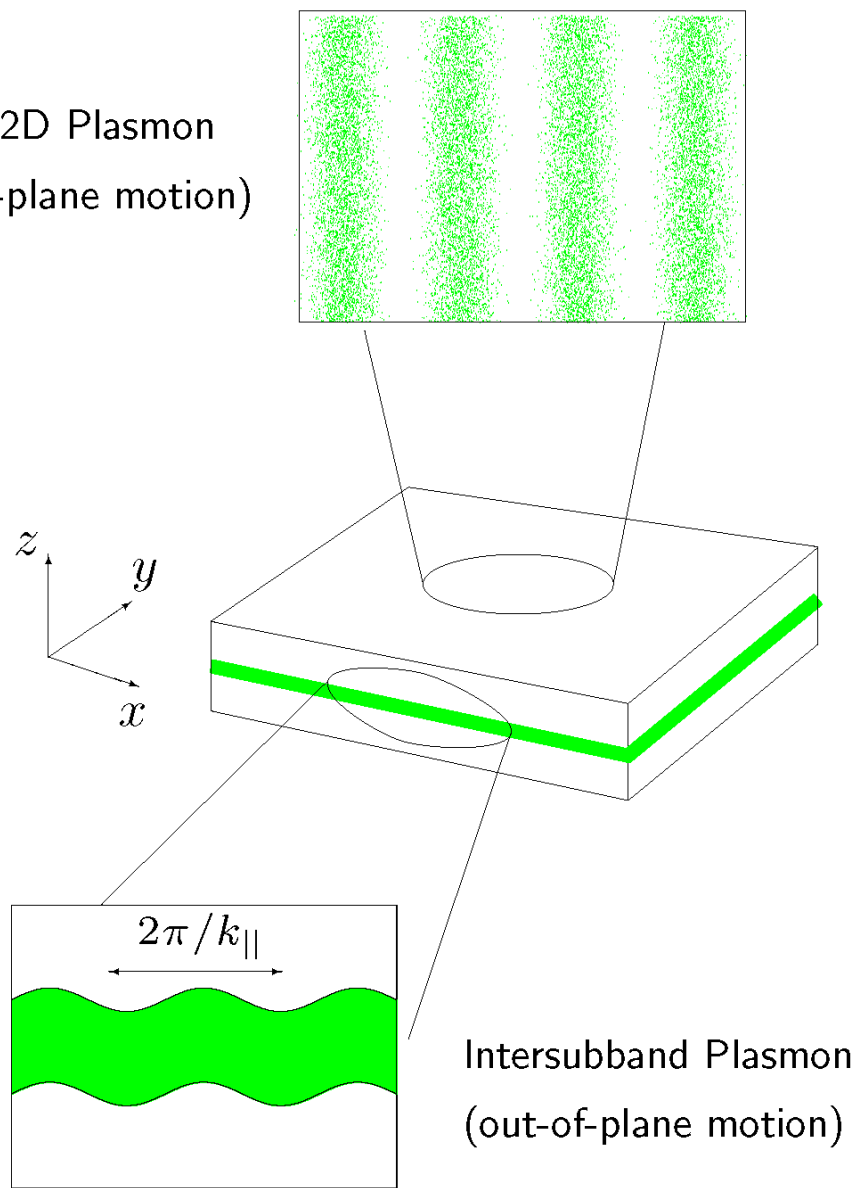


# Collective excitations



2D Plasmon  
(in-plane motion)

Intersubband charge and spin plasmons:  $\uparrow$  and  $\downarrow$  densities in and out of phase



Intersubband Plasmon  
(out-of-plane motion)



# TDDFT linear response theory for quantum wells

C.A.U. and G. Vignale, PRB **58**, 15756 (1998)

$$n_{1\sigma}(k_{\parallel}, z, \omega) = \sum_{\sigma'} \int dz' \chi_{\sigma\sigma'}^{KS}(k_{\parallel}, z, z', \omega) V_{1\sigma'}^{ext+Hxc}(k_{\parallel}, z', \omega)$$

$$\chi_{\sigma\sigma'}^{KS}(k_{\parallel}, z, z', \omega) = \delta_{\sigma\sigma'} \sum_{\mu=1}^{N_{occ}} \sum_{\nu=1}^{\infty} F_{\mu\nu}(k_{\parallel}, \omega) \varphi_{\mu}(z) \varphi_{\nu}(z) \varphi_{\mu}(z') \varphi_{\nu}(z')$$

$$F_{\mu\nu}(k_{\parallel}, \omega) = \int \frac{d^2 q_{\parallel}}{(2\pi)^2} \left[ \frac{\theta(E_F - E_{\mu q_{\parallel}})}{\omega - \omega_{\nu\mu} - k_{\parallel} q_{\parallel} - \frac{k_{\parallel}^2}{2} + i\eta} - \frac{\theta(E_F - E_{\mu q_{\parallel}})}{\omega + \omega_{\nu\mu} + k_{\parallel} q_{\parallel} + \frac{k_{\parallel}^2}{2} + i\eta} \right]$$

$$V_{1\sigma}^{Hxc}(k_{\parallel}, z, \omega) = \sum_{\sigma'} \int dz' \left[ 2\pi \frac{e^{-k_{\parallel}|z-z'|}}{k_{\parallel}} + f_{xc,\sigma\sigma'}(k_{\parallel}, z, z', \omega) \right] n_{1\sigma'}(k_{\parallel}, z', \omega)$$



## Collective excitations in ALDA ( $k_{\parallel}=0$ )

$$n_{1\sigma}(z, \Omega) = \sum_{\sigma' \sigma''} \int dz' \chi_{\sigma\sigma'}^{KS}(z, z', \Omega) \left[ -2\pi |z - z'| + f_{xc, \sigma' \sigma''}^{ALDA}(z, z', \Omega) \right] n_{1\sigma''}(z', \Omega)$$

Find those frequencies  $\Omega$  where there is a finite solution of the response equation without any external perturbation (eigenmodes of the system).

Consider the case  $N_{occ}=1$  (only the lowest subband is occupied):

$$\chi_{\sigma\sigma'}^{KS}(z, z', \omega) = N_s \delta_{\sigma\sigma'} \sum_{\nu=1}^{\infty} \left[ \frac{1}{\omega - \omega_{\nu 1}} - \frac{1}{\omega + \omega_{\nu 1}} \right] \varphi_1(z) \varphi_{\nu}(z) \varphi_1(z') \varphi_{\nu}(z')$$

ALDA xc kernel: 
$$f_{xc, \sigma\sigma'}^{ALDA}(z, z') = \delta(z - z') \frac{d^2 e_{xc}^{unif}(n_{\uparrow}, n_{\downarrow})}{dn_{\sigma} dn_{\sigma'}} \Big|_{n=n_0(z)}$$

Since the system is not spin polarized:  $f_{xc, \uparrow\uparrow}^{ALDA} = f_{xc, \downarrow\downarrow}^{ALDA}$ ,  $f_{xc, \uparrow\downarrow}^{ALDA} = f_{xc, \downarrow\uparrow}^{ALDA}$





# The small-matrix approximation

Approximate as a two-level system:

$$\chi_{\sigma\sigma'}^{KS}(z, z', \omega) \approx N_s \delta_{\sigma\sigma'} \left[ \frac{1}{\omega - \omega_{21}} - \frac{1}{\omega + \omega_{21}} \right] \varphi_1(z) \varphi_2(z) \varphi_1(z') \varphi_2(z')$$

Define double-matrix element:

$$S_{\sigma\sigma'} = \frac{N_s}{2} \int dz \int dz' \left[ -2\pi |z - z'| + f_{xc, \sigma\sigma'}^{ALDA}(z, z') \right] \varphi_1(z) \varphi_2(z) \varphi_1(z') \varphi_2(z')$$

$$\Omega_{\pm}^2 = \omega_{21}^2 + 2\omega_{21} (S_{\uparrow\uparrow} \pm S_{\uparrow\downarrow})$$

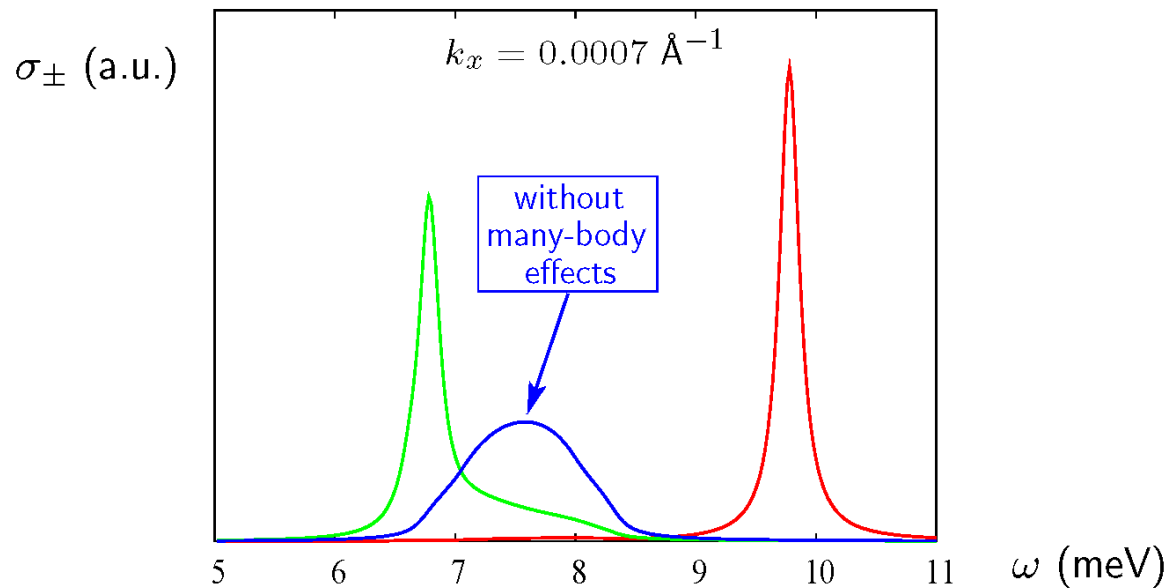
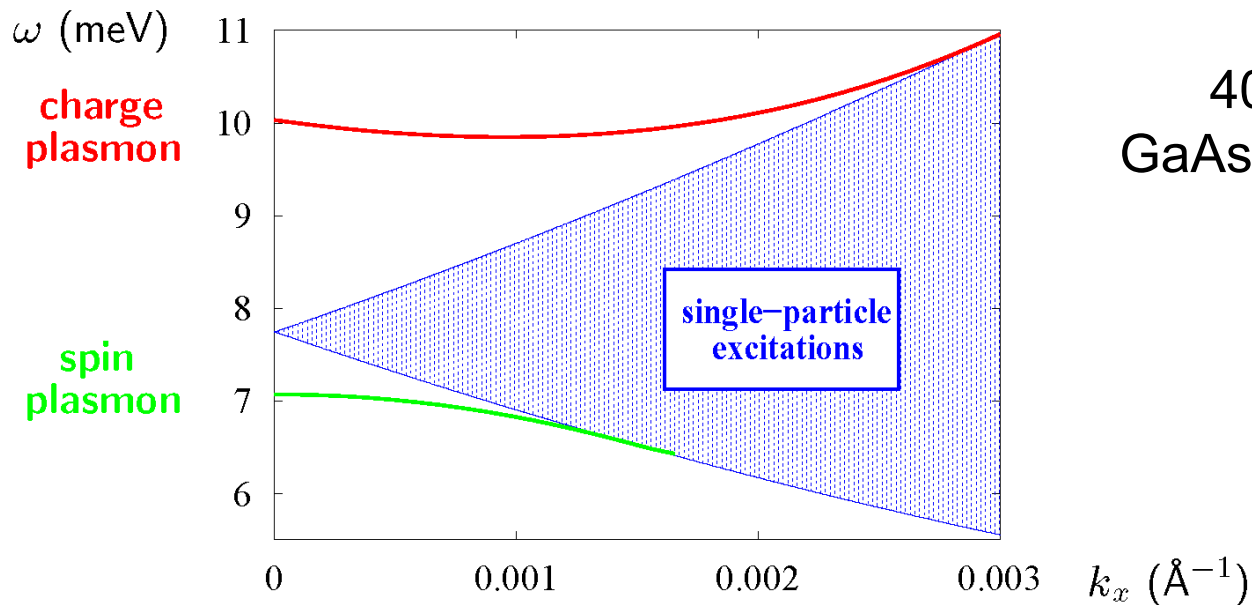
- + **Charge Plasmon:** large Hartree up-shift and smaller xc down-shift
- **Spin Plasmon:** Hartree cancels out, only small xc down-shift

The Hartree contribution is also known as “depolarization shift”  
[S.J. Allen, D.C. Tsui, and B. Vinter, Solid State Commun. **20**, 425 (1976)]



# Intersubband plasmon dispersions

40 nm  
GaAs/AlGaAs





# Inelastic light scattering

VOLUME 63, NUMBER 15

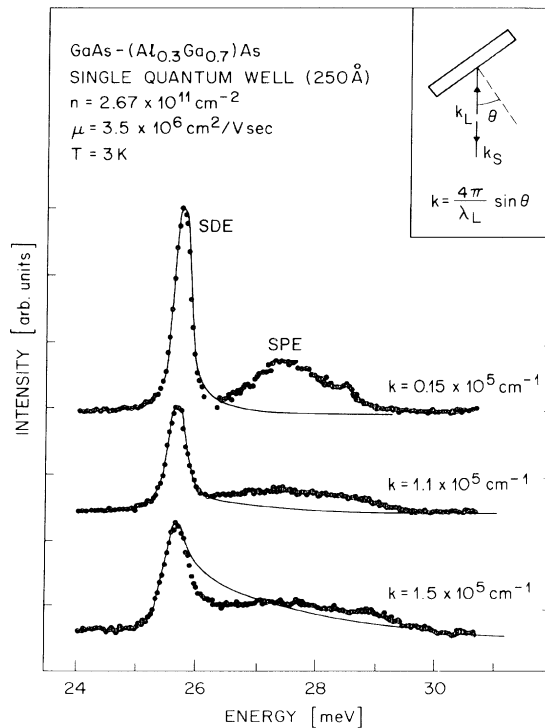
PHYSICAL REVIEW LETTERS

9 OCTOBER 1989

## Large Exchange Interactions in the Electron Gas of GaAs Quantum Wells

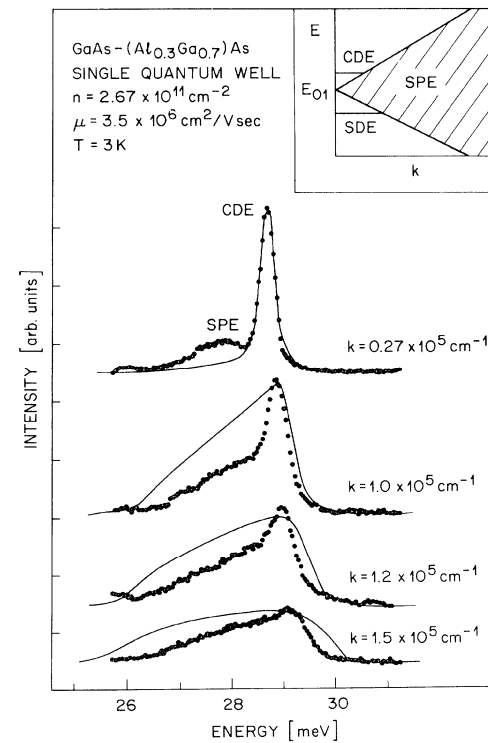
A. Pinczuk, S. Schmitt-Rink, G. Danan, J. P. Valladares, L. N. Pfeiffer, and K. W. West

*AT&T Bell Laboratories, Murray Hill, New Jersey 07974*



“depolarized”

spin plasmons



“polarized”

charge plasmons



## C-TDDFT for intersubband plasmons

Since this is a “1D” system, we can integrate the continuity equation:

$$\frac{\partial j_1}{\partial z} = i\omega n_1 \Rightarrow j_1(z, \omega) = i\omega \int_{-\infty}^z n_1(z', \omega) dz'$$

and we can construct the xc scalar potential from the xc vector potential:

$$\frac{\partial V_{xc,1}}{\partial z} = i\omega A_{xc,1} \Rightarrow V_{xc,1}(z, \omega) = i\omega \int_{-\infty}^z A_{xc,1}(z', \omega) dz'$$

We only need the zz component of the xc stress tensor:

$$\sigma_{xc,zz}(z, \omega) = \left( \zeta_{xc} + \frac{4}{3} \eta_{xc} \right) \frac{\partial v(z, \omega)}{\partial z}$$

where

$$\zeta_{xc} + \frac{4}{3} \eta_{xc} = -\frac{n_0^2(z)}{i\omega} \left( f_{xc}^L(n, \omega) - \frac{d^2 e_{xc}^{unif}}{dn^2} \right)_{n=n_0(z)}$$



# Explicit expression for the xc kernel in 1D

$$\begin{aligned} f_{xc}^{VK}(z, z', \omega) &= f_{xc}^L(z, \omega) \delta(z - z') \\ &\quad - f_{xc}^{dyn}(z, \omega) \frac{n_0'(z)}{n_0(z)} \theta(z - z') - f_{xc}^{dyn}(z', \omega) \frac{n_0'(z')}{n_0(z')} \theta(z' - z) \\ &\quad + \int dz'' \theta(z'' - z) \theta(z'' - z') f_{xc}^{dyn}(z'', \omega) \left( \frac{n_0'(z'')}{n_0(z)} \right)^2 \end{aligned}$$

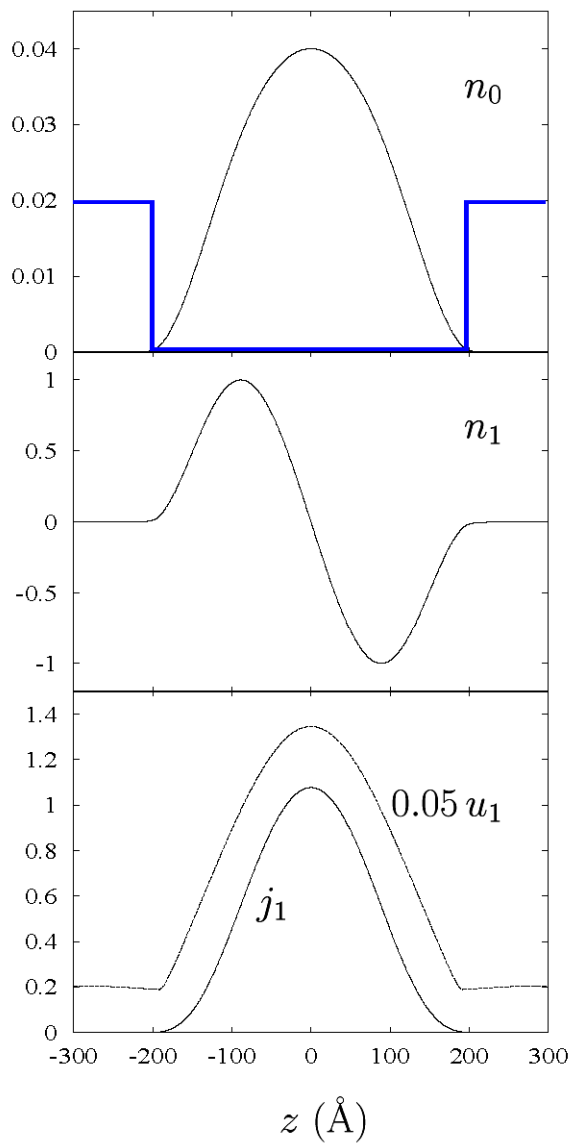
- long-range nature of the xc kernel explicitly visible
- satisfies Harmonic Potential Theorem and other symmetries

G. Vignale and W. Kohn, in “Electronic DFT” (Plenum, 1998)

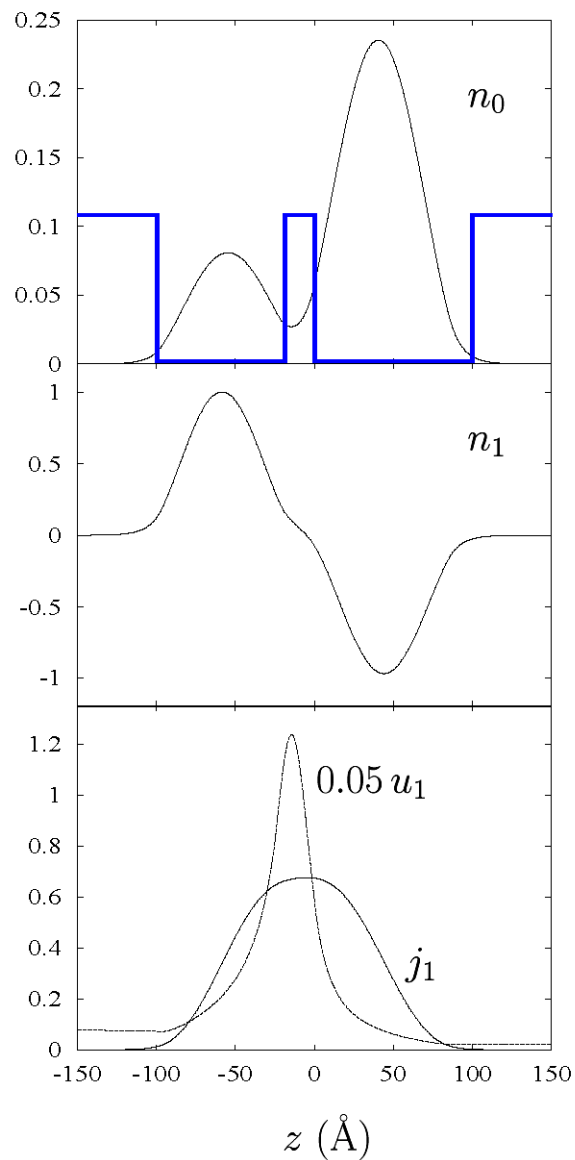
**Exercise:** derive this expression!



# Intersubband plasmons: mode profiles



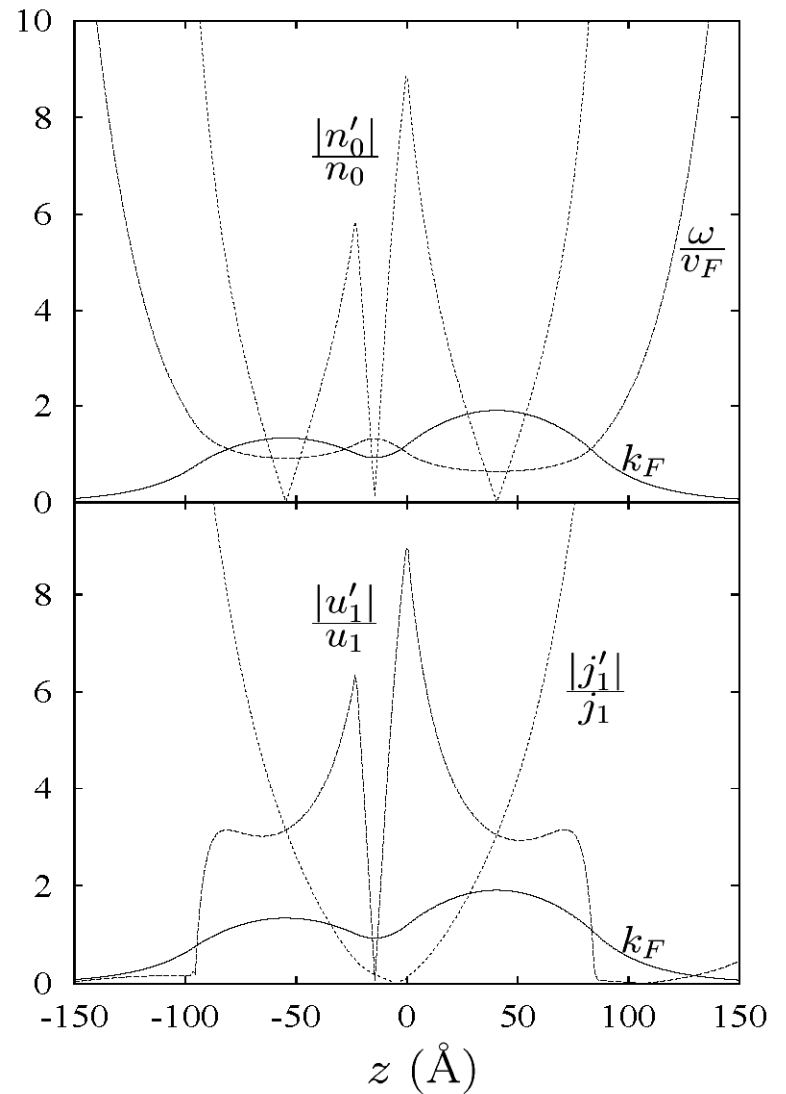
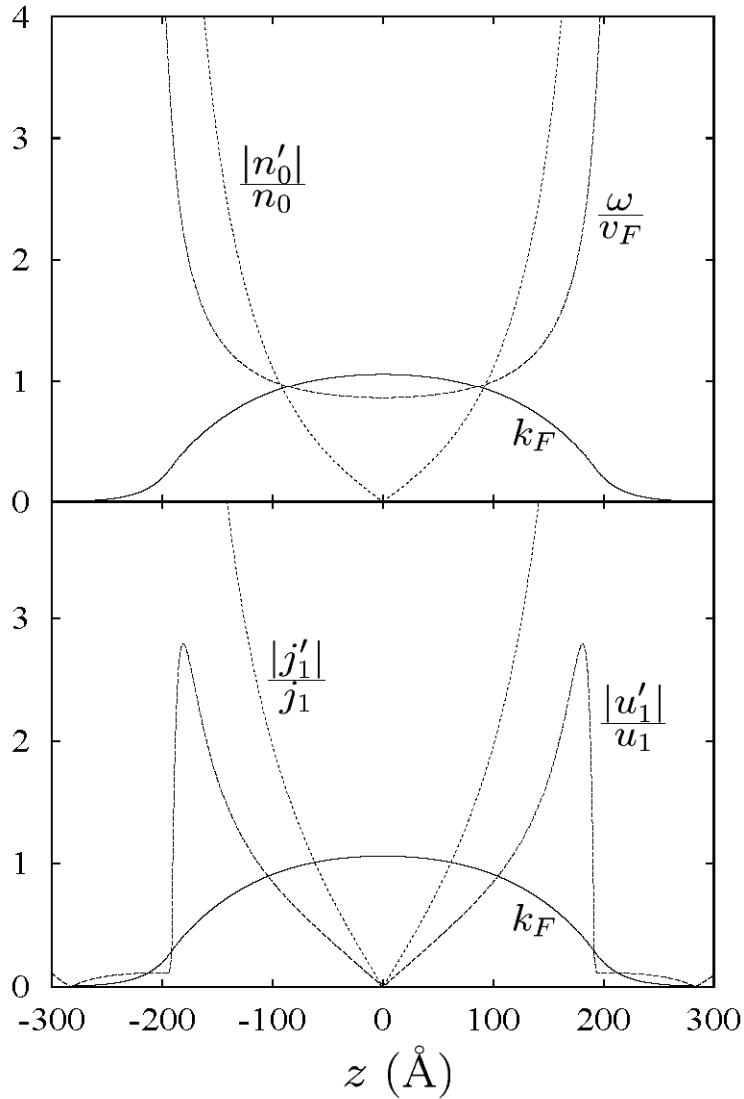
single well



double well



# Analysis of the VK functional for ISB plasmons





# Analysis of the VK functional for ISB plasmons

## Single well

	ALDA	VK [1]	VK [2]	Exp
$\Omega$	10.25	10.31	10.24	10.7
$\Gamma$		0.128	0.104	0.53

## Double well

	ALDA	VK [1]	VK [2]	Exp
$\Omega$	13.85	20.64	12.55	14.6
$\Gamma$		8.55	4.15	1.17

VK [1]:  $f_{xc}$  Gross/Kohn 1985

VK [2]:  $f_{xc}$  Nifosi/Conti/Tosi 1998

**Single well:** VK functional works fine (linewidth  $\Gamma$  below exp. value)

**Double well:** VK functional breaks down (tunneling through barrier)

detailed comparison with experimental data, including disorder effects:

C.A.U. and G. Vignale, PRL **87**, 036402 (2001)

C.A.U. and G. Vignale, PRB **65**, 245102 (2002)





# End of the first lecture

## Today's summary:

- ▶ density-based nonadiabatic xc functionals in TDDFT are plagued by ultranonlocality
- ▶ upgrading to C-TDDFT makes a local approximation possible
- ▶ natural way of describing dynamical xc effects via viscoelastic stresses in the electron liquid
- ▶ works great for polarizabilities of polymers and plasmon linewidths, not so good for atoms.

## Tomorrow:

- dynamics in the time domain: memory and dissipation in TDKS
- a rigorous extension of the LDA: L-TDDFT versus C-TDDFT
- more examples: spin Coulomb drag, and some toy models