
Models for Time-Dependent Phenomena

- I. Phenomena in laser-matter interaction: atoms*
- II. Phenomena in laser-matter interaction: molecules*
- III. Model systems and TDDFT*

Manfred Lein



Outline

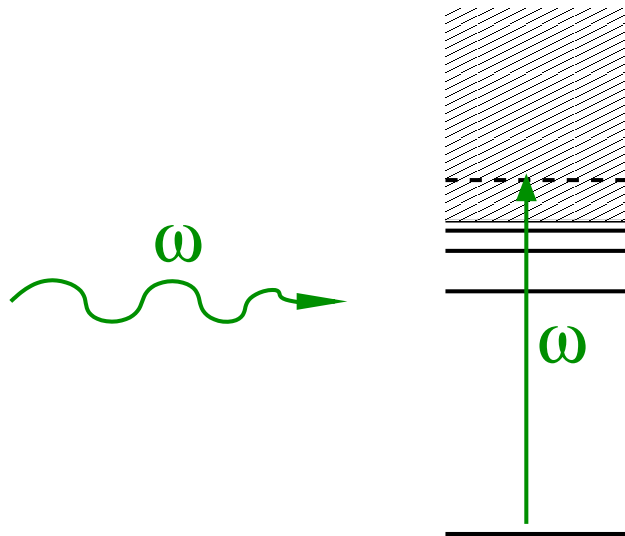
Phenomena in laser-matter interaction: atoms

- Classical and quantum description
- Floquet theory, Volkov states
- Multiphoton processes, tunneling
- Recollision, harmonics, ATI, double ionization
- Strong-field approximation

Laser-matter interaction

Weak light field

(normal light, synchrotron)

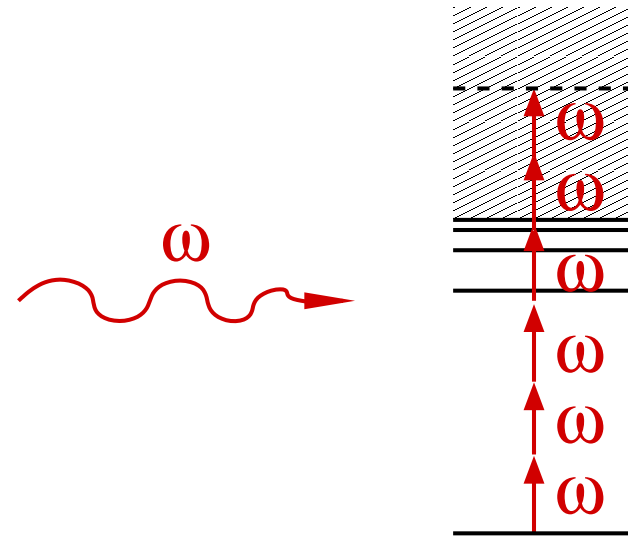


Single-photon absorption

$$P \sim |\langle 1 | \mathbf{r} \cdot \mathbf{E} | 0 \rangle|^2$$

Strong light field

(laser pulses)

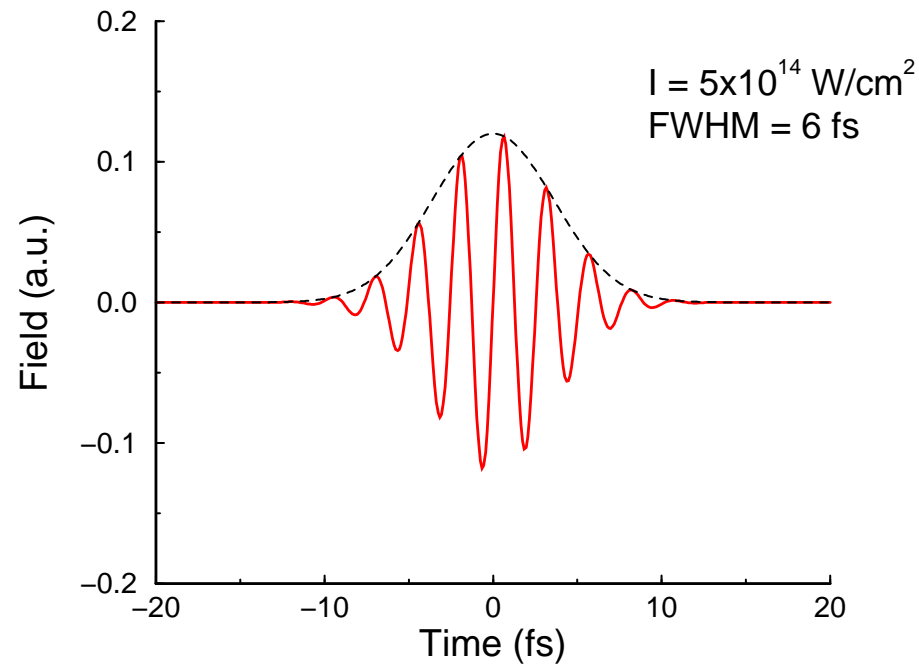


Multiphoton absorption

perturbative or
nonperturbative

Ultrashort laser pulses

State-of-the-art “few-cycle” pulse:



- allows ultrafast time-resolved measurements (pump-probe)
- “carrier-envelope phase” becomes important

Classical preliminaries

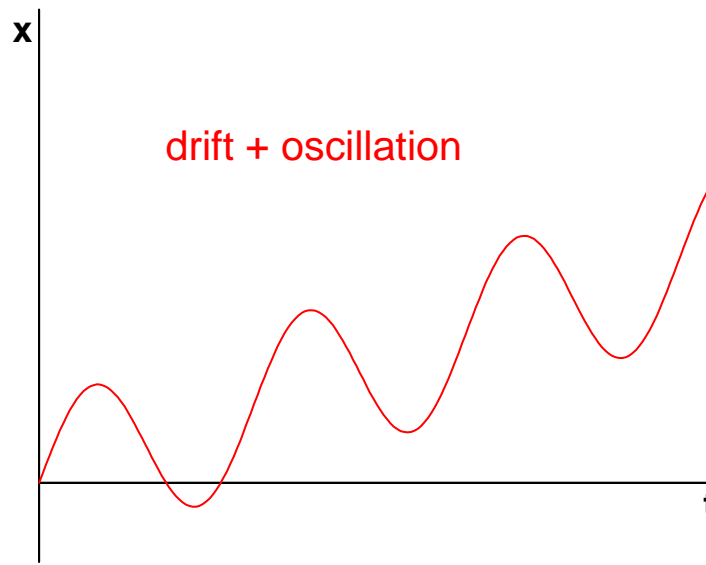
Classical electron in a monochromatic laser field

Equation of motion: $\ddot{\mathbf{r}}(t) = -\mathbf{E}_0 \sin(\omega t)$

(using dipole approximation; $\mathbf{E}_0 \sin(\omega t)$ = electric field, linearly polarized)

Velocity: $\dot{\mathbf{r}}(t) = \mathbf{v}_{\text{drift}} + \frac{\mathbf{E}_0}{\omega} \cos(\omega t)$

Position: $\mathbf{r}(t) = \mathbf{r}_0 + \mathbf{v}_{\text{drift}} t + \frac{\mathbf{E}_0}{\omega^2} \sin(\omega t)$



Oscillation amplitude: $\alpha = \mathbf{E}_0 / \omega^2$

Classical preliminaries

Kinetic energy: $T(t) = \frac{v_{\text{drift}}^2}{2} + \mathbf{v}_{\text{drift}} \cdot \frac{\mathbf{E}_0}{\omega} \cos(\omega t) + \frac{E_0^2}{2\omega^2} \cos^2(\omega t)$

Average kinetic energy: $\bar{T} = \frac{v_{\text{drift}}^2}{2} + \frac{E_0^2}{4\omega^2}$

→ Define **ponderomotive potential**: $U_p = \frac{E_0^2}{4\omega^2}$

If field amplitude is position dependent, there will be a ponderomotive force $\mathbf{F}_p = -\nabla U_p(\mathbf{r})$.

(But in an ultrashort laser pulse, the electron has not enough time to follow this force).

Quantum mechanical description

Time evolution is described by the time-dependent

Schrödinger equation (TDSE): $i\frac{\partial}{\partial t}\Psi(t) = H(t)\Psi(t).$

Hamiltonian in dipole approximation ($\lambda \gg$ system size):

$$H(t) = H_0 + \mathbf{E}(t) \cdot \sum_j \mathbf{r}_j \quad \text{with } \mathbf{E}(t) = \text{electric field.}$$

This is called *length gauge*.

Alternatively:

$$H'(t) = H_0 + \mathbf{A}(t) \cdot \sum_j [\mathbf{p}_j + \mathbf{A}(t)/2] \quad \text{with}$$

$$\mathbf{A}(t) = - \int_{-\infty}^t \mathbf{E}(t') dt'.$$

This is called *velocity gauge*.

Quantum mechanical description

The velocity-gauge wave function $\Psi'(t)$ is related to the length-gauge wave function $\Psi(t)$ by

$$\Psi'(t) = e^{-i\mathbf{A}(t)\cdot\sum_j \mathbf{r}_j} \Psi(t)$$

Are there problems with TDDFT and velocity gauge (momentum-dependent interaction)?

No, because gauge transformation does not change density .

→ TDKS equations may be solved in either gauge.

But: orbitals change under gauge transformation.

Floquet theory

Consider monochromatic field $\mathbf{E}(t) = \mathbf{E}_0 \sin(\omega t)$

→ periodic Hamiltonian $H(t + T) = H(t)$

→ **Floquet theorem** (cf. Bloch theorem in solid-state physics):

TDSE has solutions of the form

$$\Psi(t) = e^{-i\mathcal{E}t} \Phi(t)$$

with time-periodic wave functions $\Phi(t)$,

$$\Phi(t + T) = \Phi(t).$$

Floquet theory

The Floquet states $\Phi(t)$ are eigenstates of the **Floquet operator** $\mathcal{H}(t) = H(t) - i\frac{\partial}{\partial t}$,

$$\mathcal{H}(t)\Phi(t) = \mathcal{E}\Phi(t),$$

where \mathcal{E} is the **quasienergy**.

If \mathcal{E} and $\Phi(t)$ are solutions, then also $\mathcal{E}' = \mathcal{E} + n\omega$ and $\Phi'(t) = \Phi(t)e^{in\omega t}$ are solutions.

$\Phi(t)$ are called **dressed states**
(analog to stationary eigenstates for time-independent Hamiltonian).

Volkov states

Free electron in the presence of a time-dependent electric field is described by the Hamiltonian (length gauge):

$$H(t) = -\frac{\nabla^2}{2} + \mathbf{E}(t) \cdot \mathbf{r}$$

Possible solutions of the TDSE are **Volkov states**:

$$\Psi_{\mathbf{p}}^V(\mathbf{r}, t) = e^{-iS(\mathbf{p}, t, t')} e^{i[\mathbf{p} + \mathbf{A}(t)] \cdot \mathbf{r}}$$

with the action integral $S(\mathbf{p}, t, t') = \frac{1}{2} \int_{t'}^t [\mathbf{p} + \mathbf{A}(t'')]^2 dt''$ and arbitrary, fixed t' .

These are plane waves with momenta depending on time as in classical mechanics.

Volkov states

For monochromatic field, the Volkov states can be written as

$$\Psi_{\mathbf{p}}^{\text{V}}(\mathbf{r}, t) = e^{-i(p^2/2 + U_{\text{p}})t} \Phi_{\mathbf{p}}(\mathbf{r}, t)$$

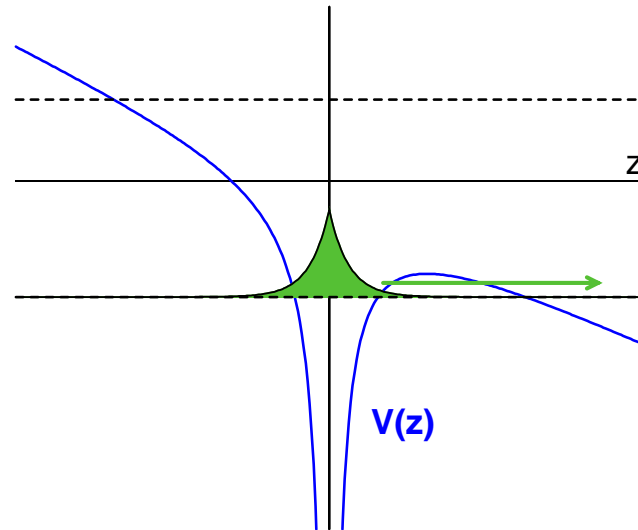
with a time periodic function $\Phi_{\mathbf{p}}$, i.e. this is a Floquet state with quasienergy

$$\mathcal{E}_{\mathbf{p}} = p^2/2 + U_{\text{p}}.$$

The ponderomotive potential is the ac Stark shift of plane waves!

Tunneling

Static electric field $E \rightarrow$ potential barrier, allows tunneling.



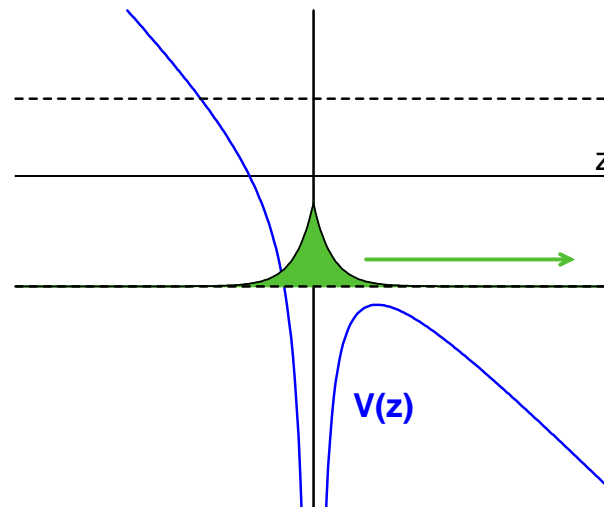
Tunneling rate for the hydrogen atom (see Landau & Lifshitz):

$$w = \frac{4}{E} e^{-2/(3E)}$$

(derived from quasiclassical theory)

Over-barrier ionization

For sufficiently large field $E >$ critical field E_{BS}
→ ground-state energy above barrier maximum



→ Classical escape of the electron.

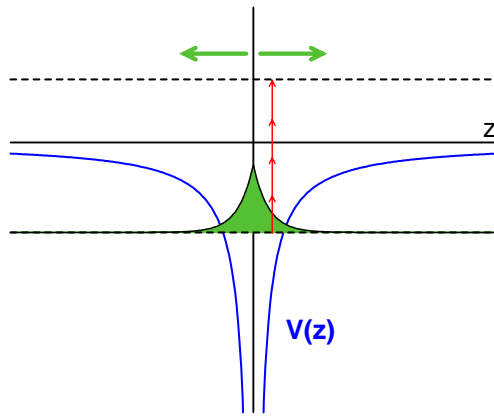
E_{BS} = **barrier suppression field strength**

H atom: $E_{BS} = 0.113$ a.u.

(corresponds to laser intensity $I_{BS} = 4.5 \times 10^{14}$ W/cm²)

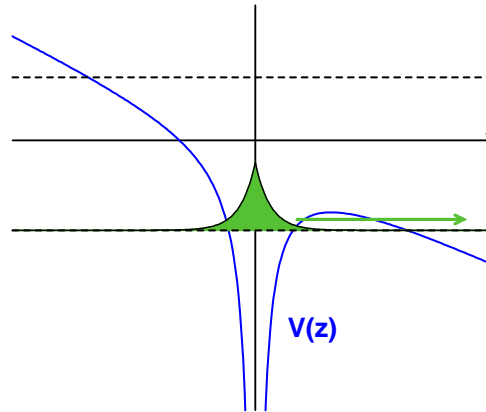
Ionization regimes

**multiphoton
ionization**



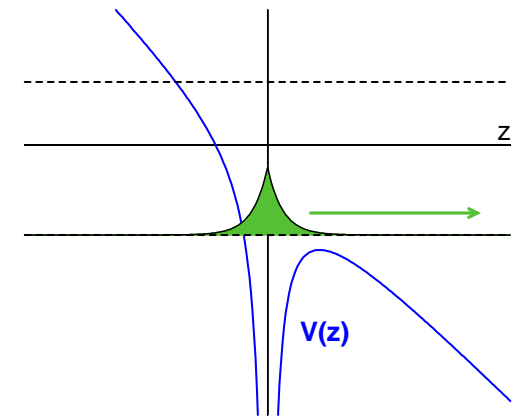
$$\gamma = \omega / \omega_t > 1$$

tunnel ionization



$$\gamma = \omega / \omega_t < 1$$

**over-barrier
ionization**



$$E > E_{BS}$$

$$\gamma = \frac{\text{tunneling time}}{\text{laser period}} \quad (\text{Keldysh parameter})$$

$$\text{H atom: } \gamma = \omega / E$$

$$\text{in general: } \gamma = \sqrt{I_p / (2U_p)},$$

I_p = ionization potential, U_p = ponderomotive potential

Simple man's model of ionization

- At each instant t_0 , the ionization rate is given by a simple estimate (e.g. tunneling formula) using the instantaneous field strength.
- Electron appears with zero velocity at position zero.
- Subsequent dynamics is described classically.

Simple man's model of ionization

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For monochromatic field: $\dot{\mathbf{r}}(t) = \frac{\mathbf{E}_0}{\omega} [\cos(\omega t) - \cos(\omega t_0)]$

i.e. drift velocity $\mathbf{v}_{\text{drift}} = -\frac{\mathbf{E}_0}{\omega} \cos(\omega t_0)$

→ Estimate of maximum photoelectron energy:

$$|\cos(\omega t_0)| = 1 \quad \rightarrow \quad \boxed{E_{\text{max}} = \frac{E_0^2}{2\omega^2} = 2U_p}$$

Above-threshold ionization

Absorption of more photons than needed to overcome the ionization threshold

→ Peaks separated by the photon energy in the electron spectrum

Example:
experiment with Xe atoms,
Agostini et al. PRA **36**, R4111
(1987).

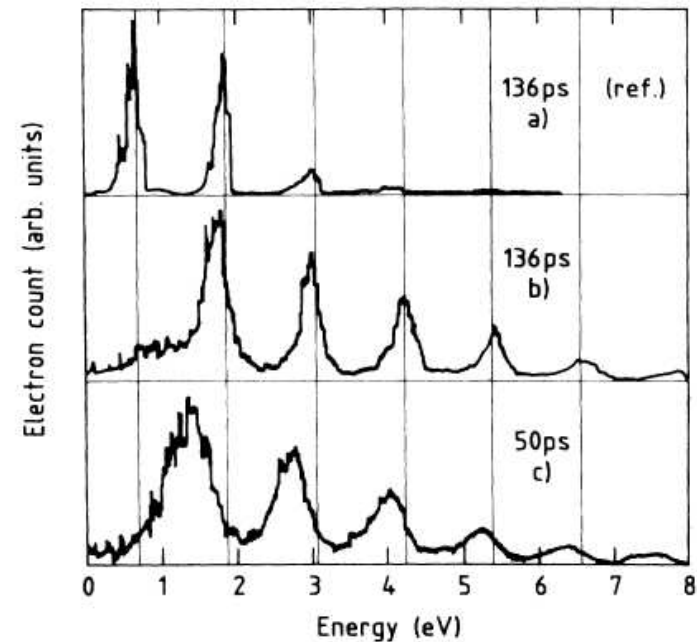


FIG. 2. Electron energy spectra for different laser intensities and pulse durations. (a) reference spectrum, $I=2.2 \times 10^{12} \text{ W cm}^{-2}$; (b) and (c) $I=7.5 \times 10^{12} \text{ W cm}^{-2}$.

Above-threshold ionization

Ponderomotive shift of the ATI peaks

- continuum dressed states have energies $\mathcal{E}_k^d = k^2/2 + U_p$
- shift of ground-state energy is small: $\mathcal{E}_g^d \approx E_g$,
so absorption of n photons yields electrons with energy $E_g + n\omega$.

→ Photoelectron kinetic energies $k^2/2 = E_g + n\omega - U_p$

Recollision mechanism

3-step process:

1. ionization
2. acceleration by the field
3. return to the core

Recollision mechanism

3-step process:

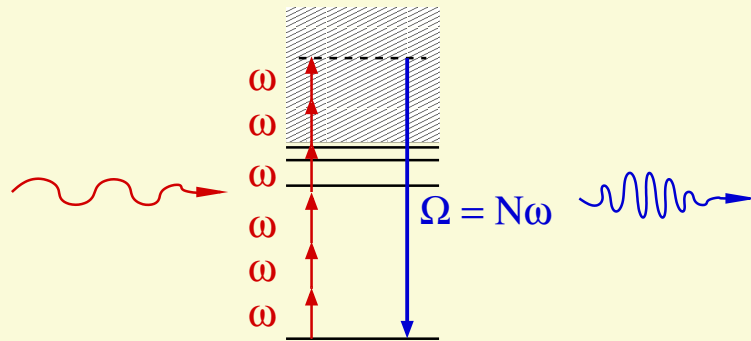
1. ionization
2. acceleration by the field
3. return to the core

Possible consequences:

- recombination (high harmonic generation – coherent light)
- elastic scattering → fast photoelectrons
- inelastic scattering → e.g. double ionization

Mechanism of high-harmonic generation

Photon picture



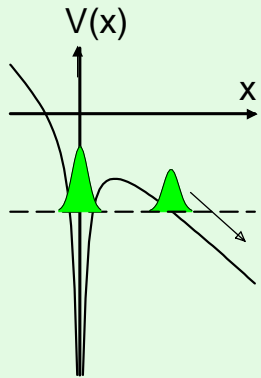
N photons of frequency ω

→ 1 photon of frequency $N\omega$.

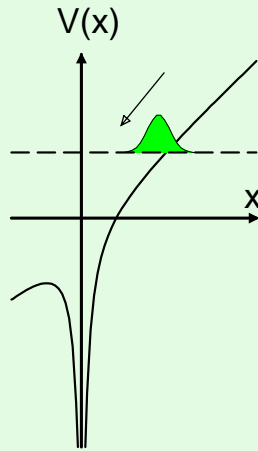
Mechanism of high-harmonic generation

Recollision picture

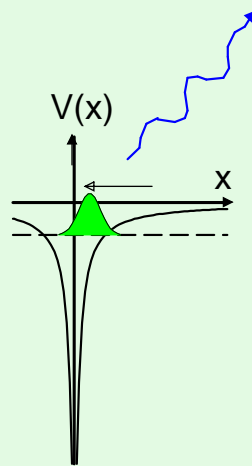
Ionization



Free acceleration

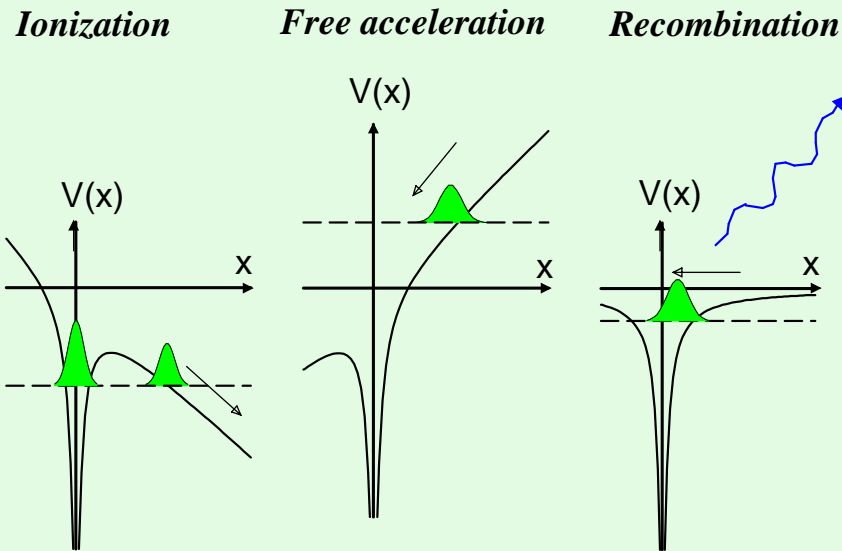


Recombination



Mechanism of high-harmonic generation

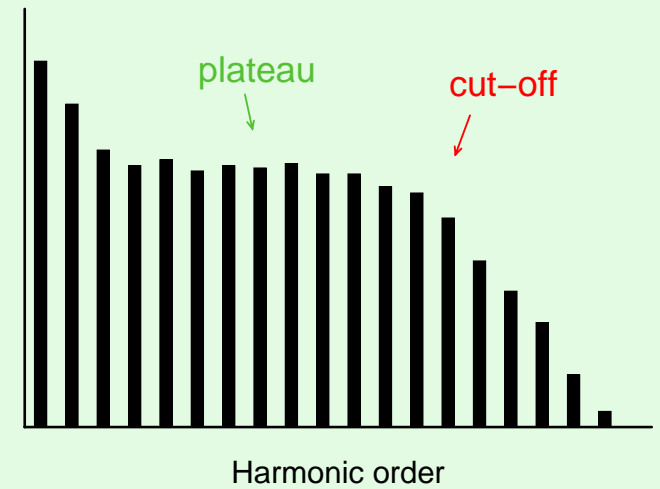
Recollision picture



Maximum return energy: $E_{\max} = 3.17U_p$

↪ Cut-off at $\hbar\omega = 3.17U_p + I_p$

[Corkum, PRL 71, 1994 (1993)]



Calculation of spectra

Calculation of the time-dependent dipole acceleration

$$\mathbf{a}(t) = \langle \psi(t) | \nabla V_0 + \mathbf{E}(t) | \psi(t) \rangle$$

and Fourier transform

$$\mathbf{a}(\Omega) = \int \mathbf{a}(t) e^{i\omega t}$$

gives emission spectrum

$$S(\Omega) \sim |\mathbf{a}(\Omega)|^2$$

In practice: time integration over pulse duration T ,

$$\mathbf{a}(\Omega) = \int_0^T \mathbf{a}(t) f(t) e^{i\omega t}$$

with some window function $f(t)$.

Alternatively: $\mathbf{a}(t) = \ddot{\mathbf{D}}(t)$ from time-dependent dipole moment $\mathbf{D}(t)$

or: $\mathbf{a}(t) = \dot{\mathbf{v}}(t)$ from time-dependent dipole velocity $\mathbf{v}(t)$

Application of high harmonics

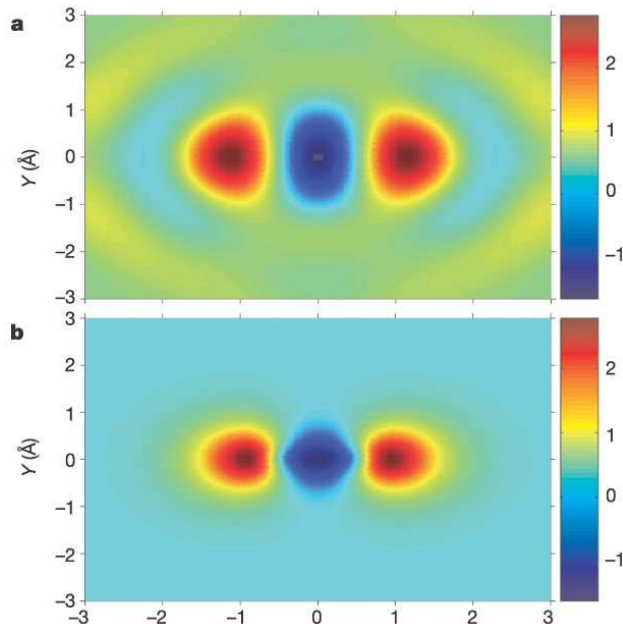
- source of coherent extreme UV radiation and attosecond pulses

Application of high harmonics

- source of coherent extreme UV radiation and attosecond pulses



- investigation of molecular properties:
molecular tomography: J. Itatani et al., Nature **432**, 867 (2004)

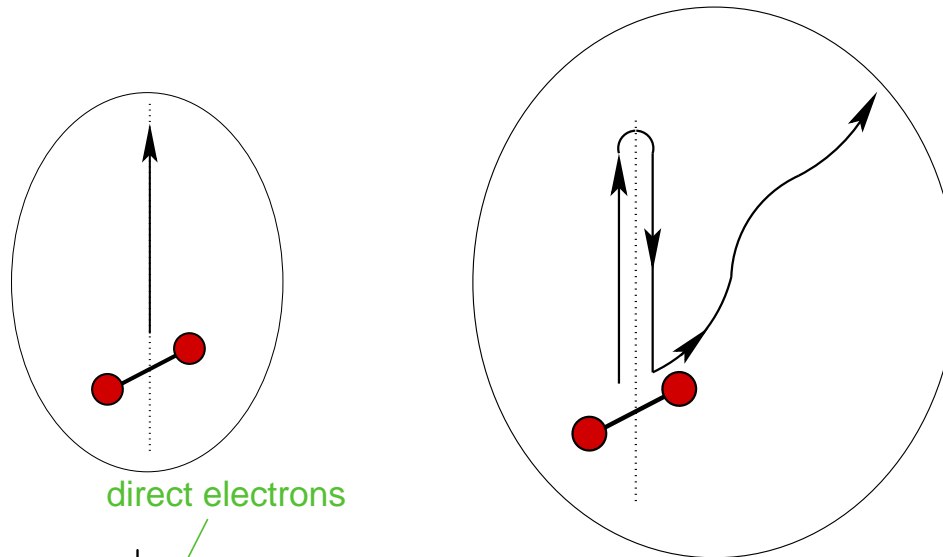


measured N₂ orbital

ab-initio N₂ orbital

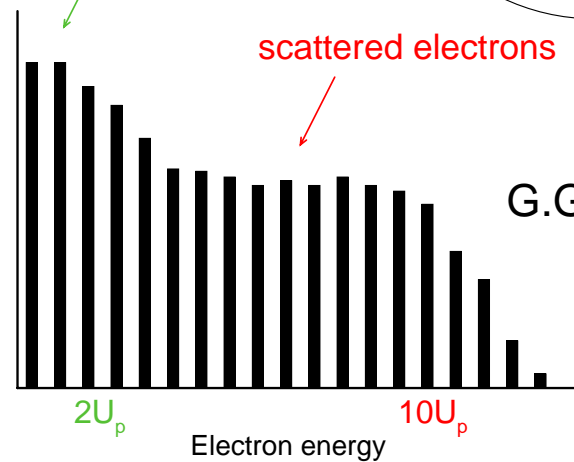
Rescattered photoelectrons

Scattered electrons (*high-order above-threshold ionization*)



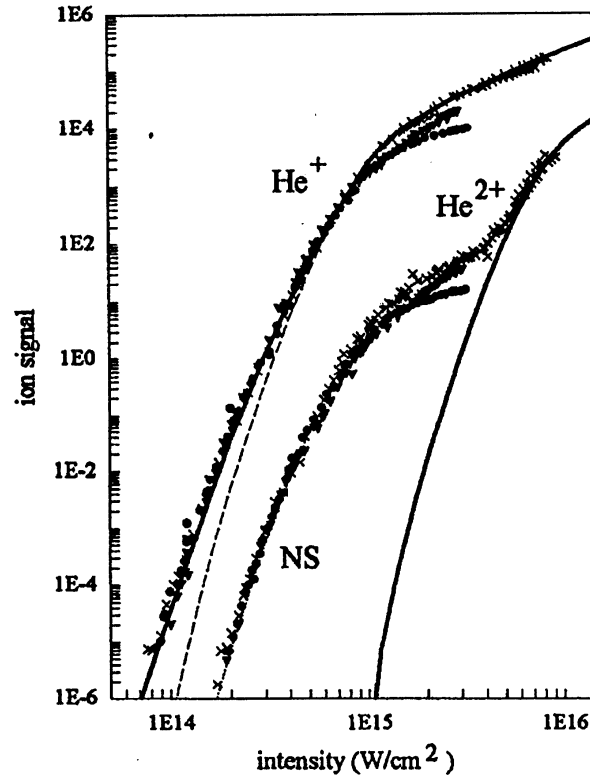
direct electrons

scattered electrons



G.G. Paulus et al. PRL **72**, 2851 (1994)

Double ionization



Double ionization is enhanced due to electron correlations by orders of magnitude.

Identification of the recollision mechanism:

A. Becker, F.H.M. Faisal, J. Phys. B **29**, L197 (1996)

R. Moshhammer et al., PRL **84**, 447 (2000)

T. Weber et al., Nature **405**, 658 (2000)

M.L., E.K.U. Gross, V. Engel, PRL **85**, 4707 (2000)

Walker et al., PRL **73**, 1227 (1994)

Quantum mechanical methods for ultrashort pulses

- **Numerical solution of the TDSE (or TDKS) equations**
 - accurate,
 - but time consuming and hard to interpret,
 - approximations for TDDFT xc potential have deficiencies
- **Strong-field approximation**

(“Keldysh-Faisal-Reiss theory”, “intense field S-matrix formalism”)

 - less reliable (e.g. strong dependence on gauge),
 - but fast and amenable to interpretation.

Strong-field approximation for ionization

Time evolution operator $U(t, t')$ obeys Schrödinger equation:

$$i \frac{\partial}{\partial t} U(t, t') = [H_0 + H_{\text{int}}(t)] U(t, t'),$$

where H_{int} is the system-field interaction.

The solution can be written in integral form:

$$U(t, t') = U_0(t, t') - i \int_{t'}^t U(t, t'') H_{\text{int}}(t'') U_0(t'', t') dt''.$$

Goal: calculation of transition amplitudes from ground state to continuum states with momentum \mathbf{p} at final time t_f :

$$M_{\mathbf{p}}(t_f, t_i) = \langle \Psi_{\mathbf{p}}(t_f) | U(t_f, t_i) | \Psi_0(t_i) \rangle$$

Strong-field approximation for ionization

Assumption 1: time evolution after ionization is governed by the laser field *only*, not by the binding potential, i.e.

$U(t, t'') \approx U_V(t, t'')$ (Volkov-Propagator). Then

$$U(t, t') = U_0(t, t') - i \int_{t'}^t U_V(t, t'') H_{\text{int}}(t'') U_0(t'', t') dt''.$$

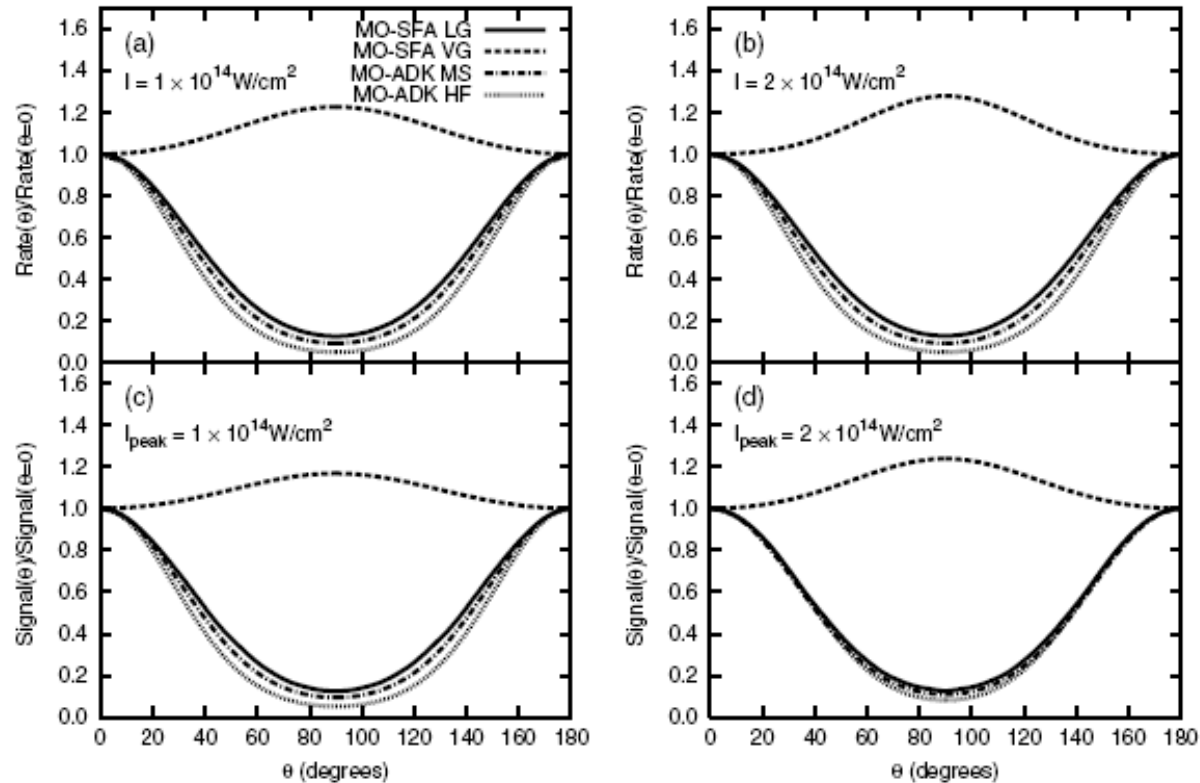
Assumption 2: final state with momentum \mathbf{p} is approximated as a Volkov state.

→ Ionization amplitude in strong-field approximation (SFA):

$$M_{\mathbf{p}}^{\text{SFA}}(t_i, t_f) = -i \int_{t_i}^{t_f} \langle \Psi_{\mathbf{p}}^V(t) | H_{\text{int}}(t) | \Psi_0(t) \rangle dt$$

Strong-field approximation for ionization

SFA is not gauge invariant: results for ionization of N_2



T.K. Kjeldsen and L.B. Madsen, J. Phys. B **37**, 2033 (2004)

Length gauge ($\mathbf{r} \cdot \mathbf{E}(t)$) appears favourable (except for large molecules)

Conclusions

- Strong laser fields require nonperturbative description and large grids.
- In general, theoretical description is not quantitative and relies heavily on models.
- TDDFT is the only tractable first-principles approach, already for atoms. Many-body wave-function methods are restricted to 2 particles.

Next part:

- Phenomena in laser-matter interaction: molecules